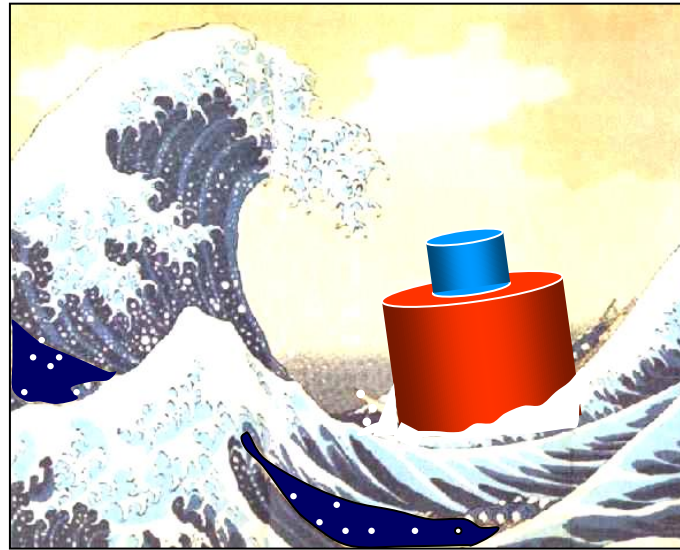


Università degli Studi di Firenze, 18-19 April 2012



# WAVE ENERGY UTILIZATION



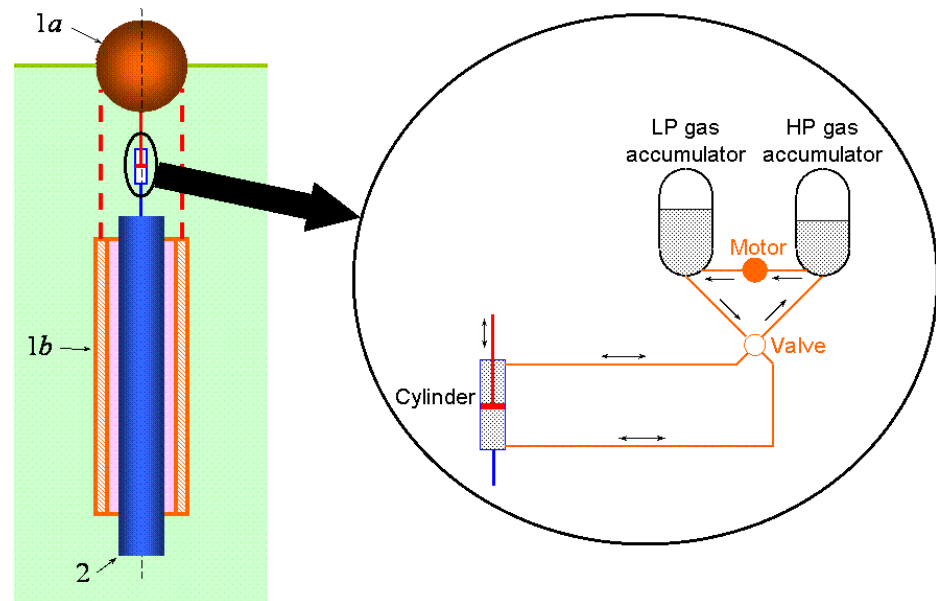
**António F. O. Falcão**  
Instituto Superior Técnico,  
Universidade Técnica de Lisboa



# Part 3

## Wave Energy Conversion Modelling

- Introduction.
- Oscillating-body dynamics.
- Oscillating-Water-Column (OWC) dynamics.
- Model testing in wave tank.



# Introduction

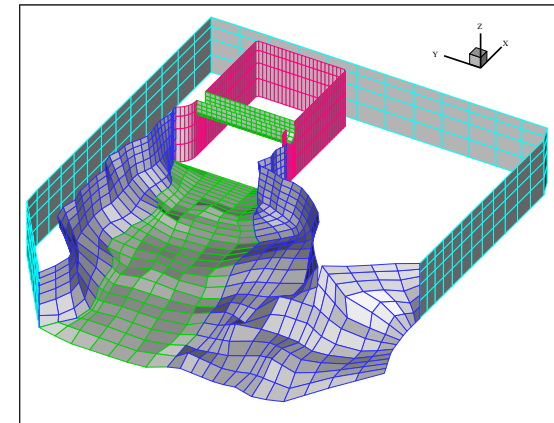
## Steps in the development of a wave energy converter:

### 1. Basic conception of device

- inventor(s)
- new patent or from previous concept

### 2. Theoretical modelling (hydrodynamics, PTO, control,...)

- evaluation (is device promising or not?)
- optimization, control studies, ...
- requires high degree of specialization (universities, etc.)



# Introduction

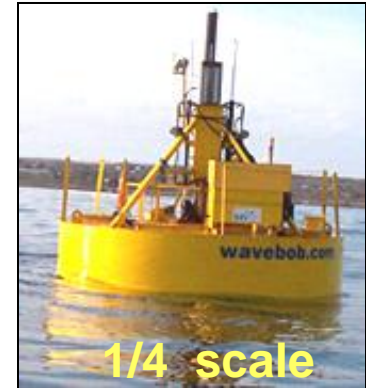
## 3. Model testing in wave tank

- to complement and validate the theoretical/numerical modelling
- scales 1:100 (in small tanks) to 1:10 (in very large tanks)
- essential before full-sized testing in real sea



## 4. Technical demonstration: design, construction and testing of a large model (~1/4th scale) or full-sized prototype in real sea:

- the real proof of technical viability of the system
- cost: up to tens of M\$



## 5. Commercial demonstration: several-MW plant in the open sea (normally a wave farm) with permanent connection to the electrical grid.



# Introduction

## Theoretical/numerical hydrodynamic modelling

- Frequency-domain
- Time-domain
- Stochastic

In all cases, linear water wave theory is assumed:

- small amplitude waves and small body-motions
- real viscous fluid effects neglected

Non-linear water wave theory may be used at a later stage to investigate some water flow details.

# Introduction

## Frequency domain model

### Basic assumptions:

- Monochromatic (sinusoidal) waves
- The system (input → output) is linear
  
- Historically the first model
- The starting point for the other models

### Advantages:

- Easy to model and to run
- First step in optimization process
- Provides insight into device's behaviour

### Disadvantages:

- Poor representation of real waves (**may be overcome by superposition**)
- Only a few WECs are approximately linear systems (OWC with Wells turbine)

# Introduction

## Time-domain model

### Basic assumptions:

- In a given sea state, the waves are represented by a spectral distribution

### Advantages:

- Fairly good representation of real waves
- Applicable to all systems (linear and non-linear)
- Yields time-series of variables
- Adequate for control studies

### Disadvantages:

- Computationally demanding and slow to run

**Essential at an advanced stage of theoretical modelling**

# Introduction

## Stochastic model

### Basic assumptions:

- In a given sea state, the waves are represented by a spectral distribution
- The waves are a Gaussian process
- The system is linear

### Advantages:

- Fairly good representation of real waves
- Very fast to run in computer
- Yields directly probability density distributions

### Disadvantages:

- Restricted to approximately linear systems (e.g. OWCs with Wells turbines)
- Does not yield time-series of variables

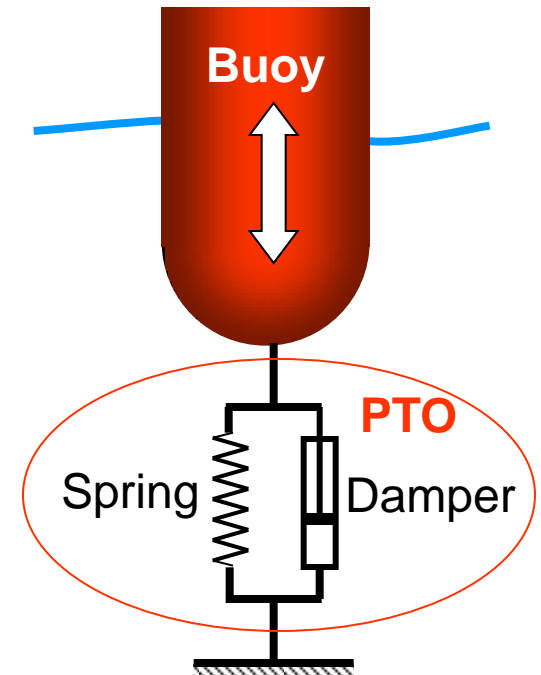


# Oscillating-body dynamics

Most wave energy converters are complex (possibly multi-body) mechanical systems with several degrees of freedom.

We consider the simplest case:

- A single floating body.
- One degree of freedom: oscillation in heave (vertical oscillation).



# Oscillating-body dynamics

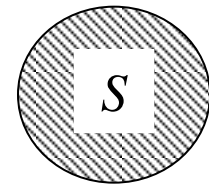
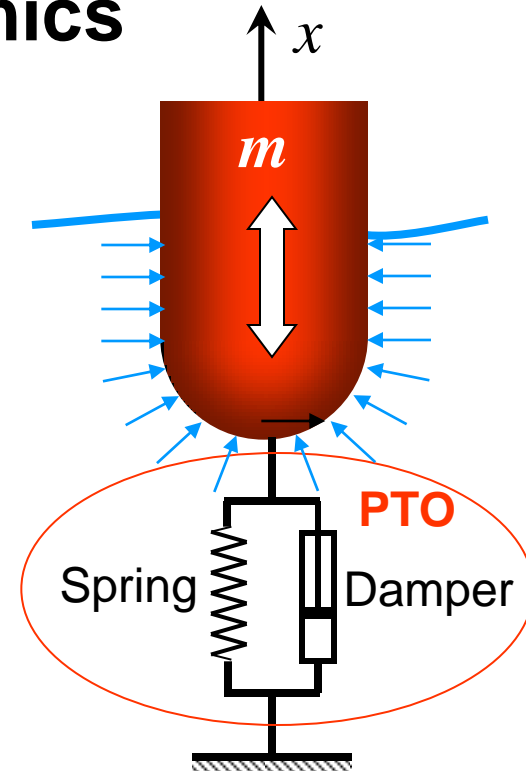
Basic equation (Newton):

$$m \ddot{x} = f_h(t) + f_m(t)$$

$\uparrow$                        $\uparrow$   
 on wetted      PTO  
 surface

$$f_h = \begin{cases} f_d = \text{excitation force (incident wave)} \\ f_r = \text{radiation force (body motion)} \\ f_{hs} = -\rho g S x = \text{hydrostatic restoring force (position)} \end{cases}$$

$$m \ddot{x} = f_d + f_r - \rho g S x + f_m$$



Cross-section

# Oscillating-body dynamics

## Frequency-domain analysis

- Sinusoidal monochromatic waves, frequency  $\omega$
- Linear system

# Oscillating-body dynamics

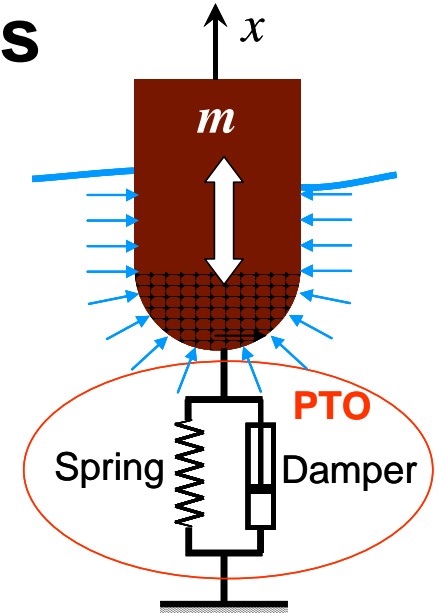
$$m \ddot{x} = f_d + f_r - \rho g S x + f_m$$

$$f_r = -A \ddot{x} - B \dot{x}$$

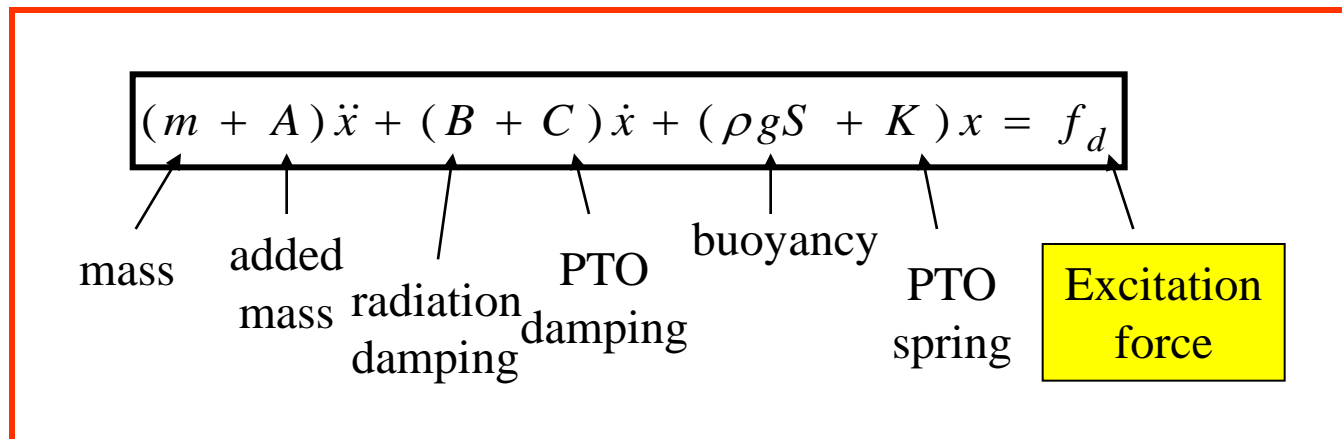
$B$  = radiation damping  
 $A$  = added mass

$$f_m = -Kx - C \dot{x}$$

Linear spring  
 Linear damper



**A** and **B** to be computed (commercial codes WAMIT, AQUADYN, ...) for given  $\omega$  and body geometry.

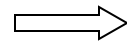


# Oscillating-body dynamics

$$(m + A)\ddot{x} + (B + C)\dot{x} + (\rho gS + K)x = f_d$$

Method of solution:  $(e^{i\omega t} = \cos \omega t + i \sin \omega t)$

- Regular waves
- Linear system



$$x(t) = \text{Re} \{X_0 e^{i\omega t}\}, \quad f_d = \text{Re} \{F_d e^{i\omega t}\}$$

or simply

$$x(t) = X_0 e^{i\omega t}, \quad f_d = F_d e^{i\omega t}$$

Note :  $X_0$ ,  $F_d$  are in general complex amplitudes

$\frac{|F_d|}{\text{wave amplitude}} = \Gamma(\omega) \Rightarrow$  to be computed for given  $\omega$  and body geometry

$$X_0 = \frac{F_d}{-\omega^2 (m + A) + i\omega (B + C) + \rho gS + K}$$

# Oscillating-body dynamics

$$X_0 = \frac{F_d}{-\omega^2(m+A) + i\omega(B+C) + \rho gS + K}$$

Power = force × velocity

Time-averaged power absorbed from the waves :

$$\bar{P} = \frac{1}{8B} |F_d|^2 \left( \frac{B}{2} \left| i\omega X_0 - \frac{F_d}{2B} \right|^2 \right) = 0$$

Note: for given body and given wave amplitude and frequency  $\omega$ ,  $B$  and  $F_d$  are fixed.

Then, the absorbed power  $\bar{P}$  will be maximum when :

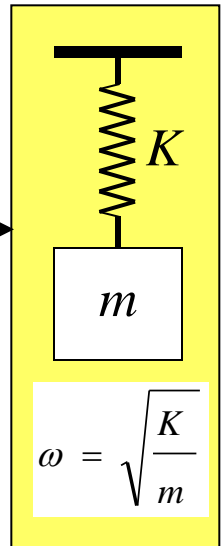
$$i\omega X_0 = \frac{F_d}{2B}$$

$$\omega = \sqrt{\frac{\rho gS + K}{m + A}}$$

**Resonance condition**

$$B = C$$

**Radiation damping = PTO damping**



# Oscillating-body dynamics

**Capture width  $L$**  : measures the power absorbing capability of device (like power coefficient of wind turbines)

$$L = \frac{\overline{P}}{E} \left\{ \begin{array}{l} \overline{P} = \text{absorbed power} \\ E = \text{energy flux of incident wave per unit crest length} \end{array} \right.$$

**For an axisymmetric body oscillating in heave (vertical oscillations), it can be shown (1976) that**

$$\overline{P}_{\max} = \frac{E \lambda}{2 \pi}$$

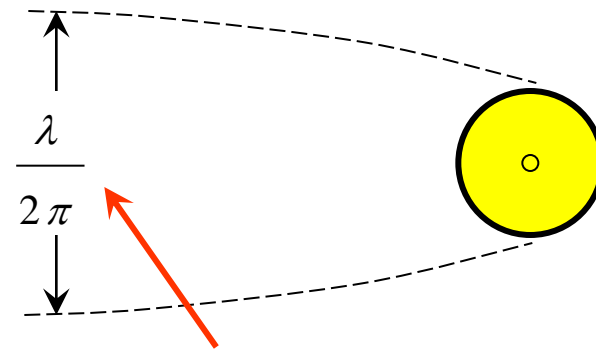
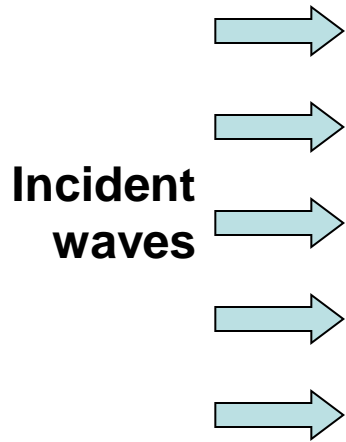
or

$$L_{\max} = \frac{\lambda}{2 \pi}$$

Note:  $L_{\max}$  may be larger than width of body

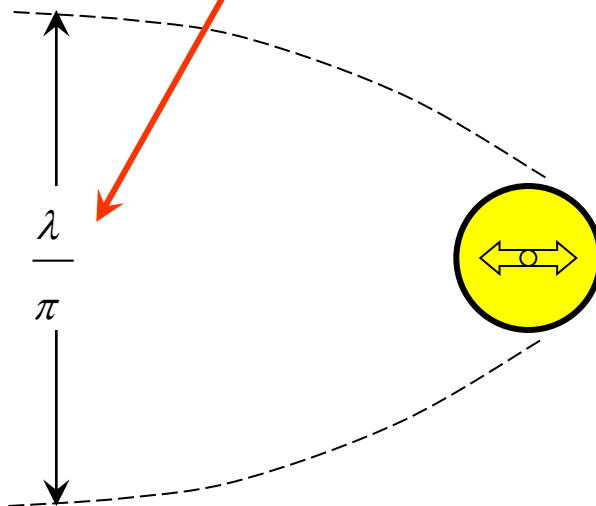
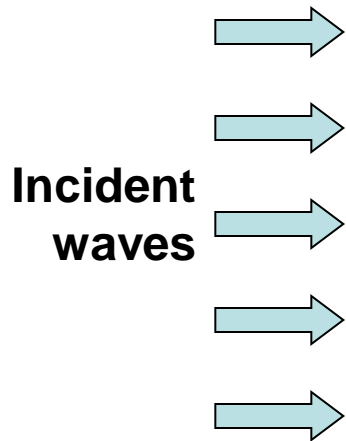
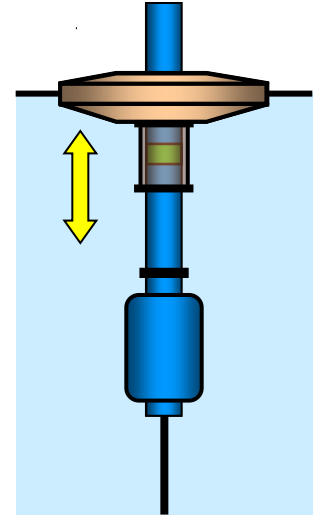
For wind turbines, Betz's limit is  $C_P = 0.593$

# Oscillating-body dynamics

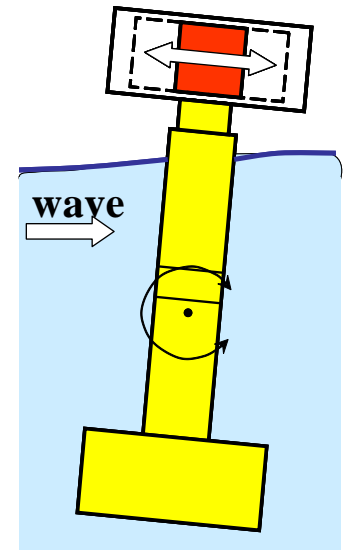


Max. capture width

Axisymmetric heaving body



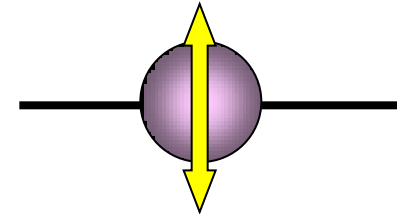
Axisymmetric surging body





# Oscillating-body dynamics

Example: hemi-spherical heaving buoy of radius  $a$



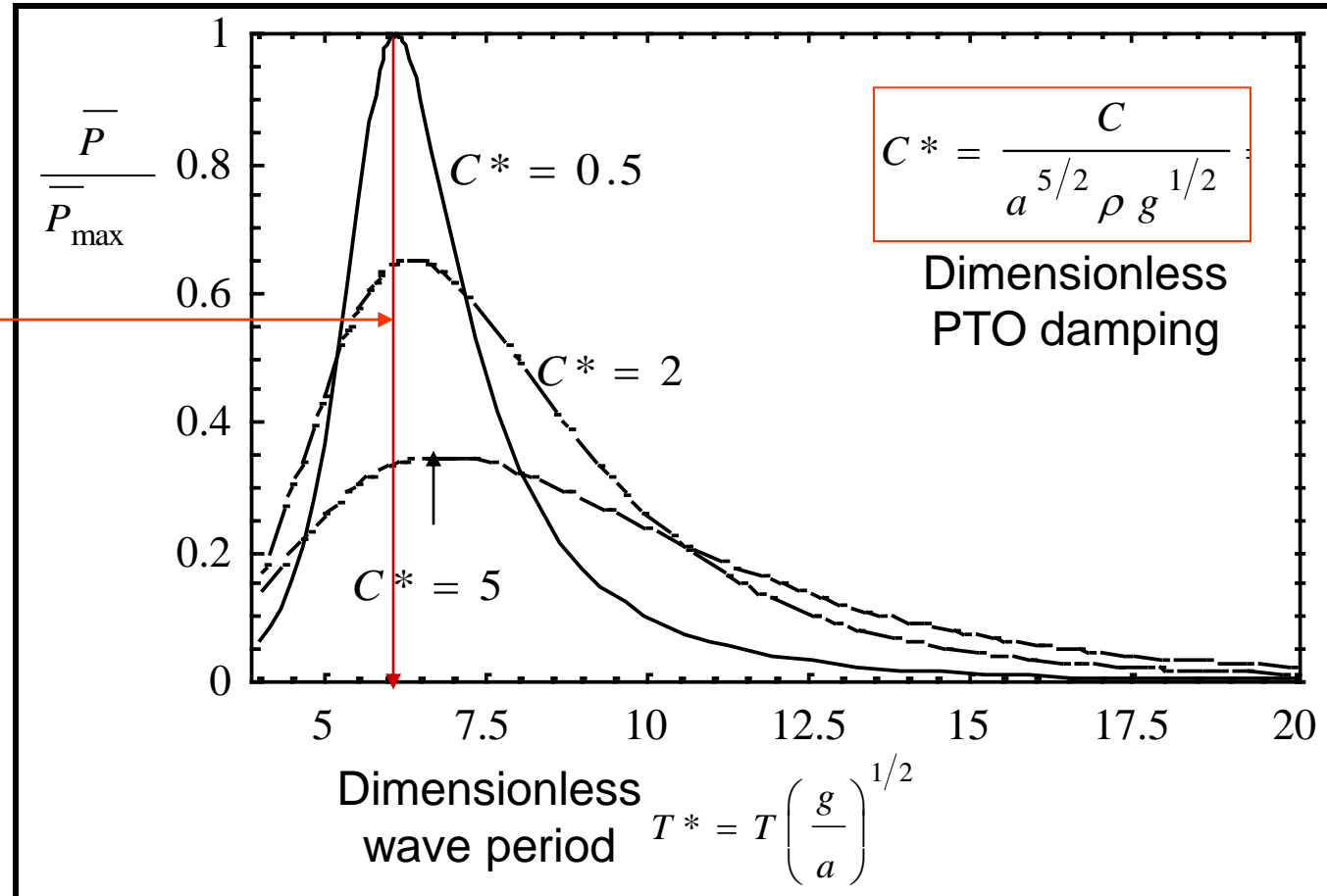
No spring,  $K = 0$

$$\bar{P} = P_{\max} \quad \text{for}$$

$$T^* = T \left( \frac{g}{a} \right)^{1/2} = 6$$

$$\text{If } T = 9 \text{ s}$$

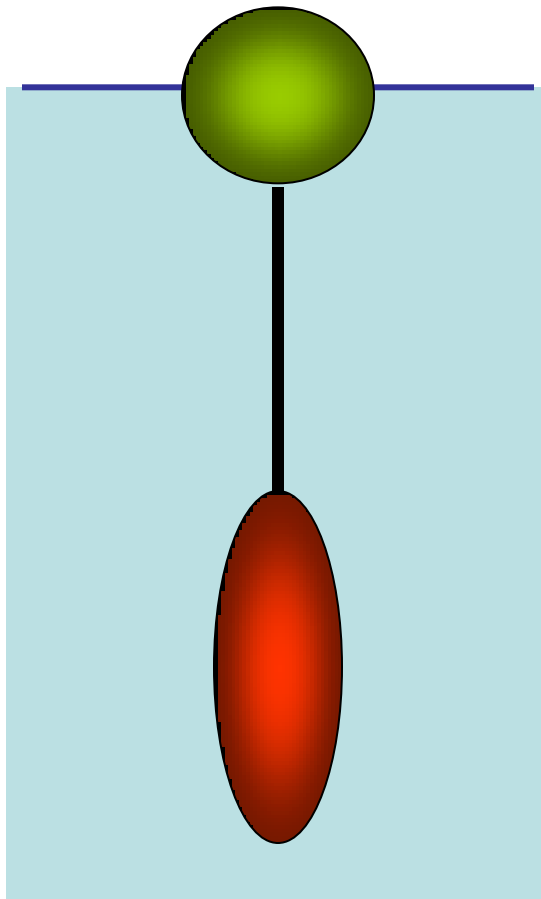
$$a_{\text{opt}} = 22 \text{ m}$$



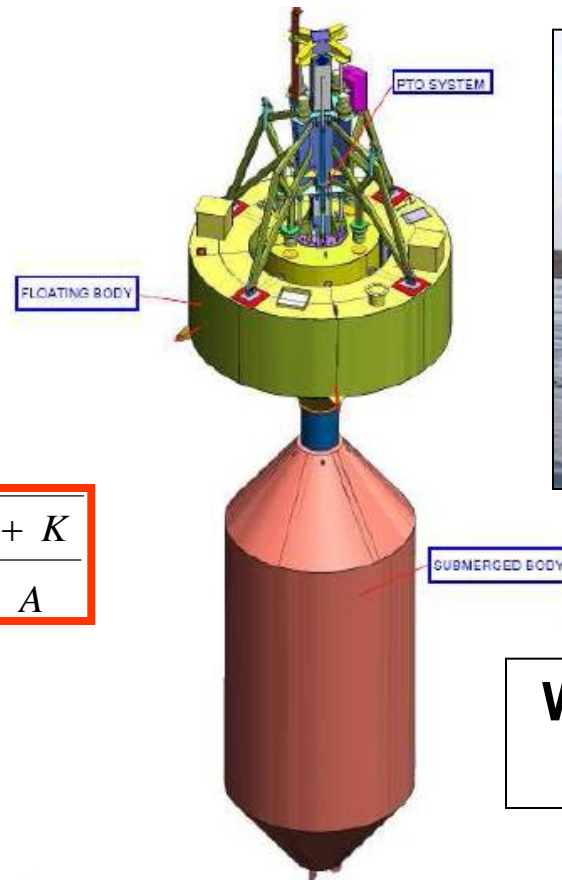
# Oscillating-body dynamics

How to increase the resonance frequency of a given “small” floater?

Attach a deeply submerged body.



$$\omega = \sqrt{\frac{\rho g S + K}{m + A}}$$



**Wavebob,  
Ireland**

# Oscillating-body dynamics

## Time-domain analysis

- Regular or irregular waves
- Linear or non-linear PTO

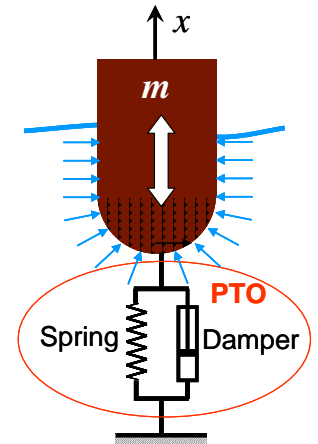
- May be require significant time-computing
- Yields time-series
- Essential for control studies

- A.F. de O. Falcão, “Modelling and control of oscillating-body wave energy converters with hydraulic power take-off and gas accumulator”, *Ocean Engineering*, vol. 34, pp. 2021-2032, 2007.
- A.F. de O. Falcão, “Phase control through load control of oscillating-body wave energy converters with hydraulic PTO system”, *Ocean Engineering*, vol. 35, pp. 358-366, 2008.

# Oscillating-body dynamics

## Time domain

From Fourier transform techniques:



$$(m + A_\infty) \ddot{x}(t) = f_d(t) - \rho g S x(t) - \int_{-\infty}^t L(t - \tau) \ddot{x}(\tau) d\tau + f_m(x, \dot{x}, t) \quad (1)$$

↑
↑
↑
↑
↑

added mass      excitation      hydrostatic      radiation      PTO

} forces

$$L(t) = \frac{1}{2\pi} \int_0^\infty \frac{B(\omega)}{\omega} \sin \omega t d\omega \quad \text{memory function}$$

$$f_d(t) = \sum_n f_{d,n}(t) \quad \text{from } \Gamma(\omega) \text{ and spectral distribution (Pierson-Moskowitz, ...)}$$

**Equation (1) to be numerically integrated**

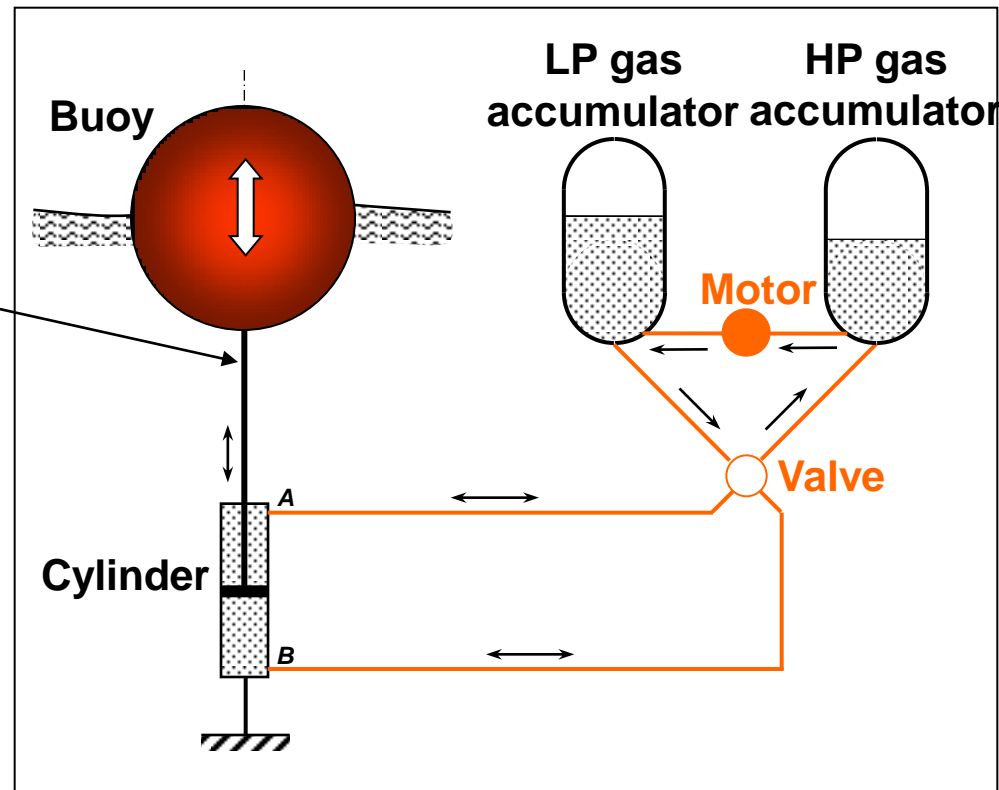
# Oscillating-body dynamics



## Example: Heaving buoy with hydraulic PTO (oil)

- Hydraulic cylinder (ram)
- HP and LP gas accumulator
- Hydraulic motor

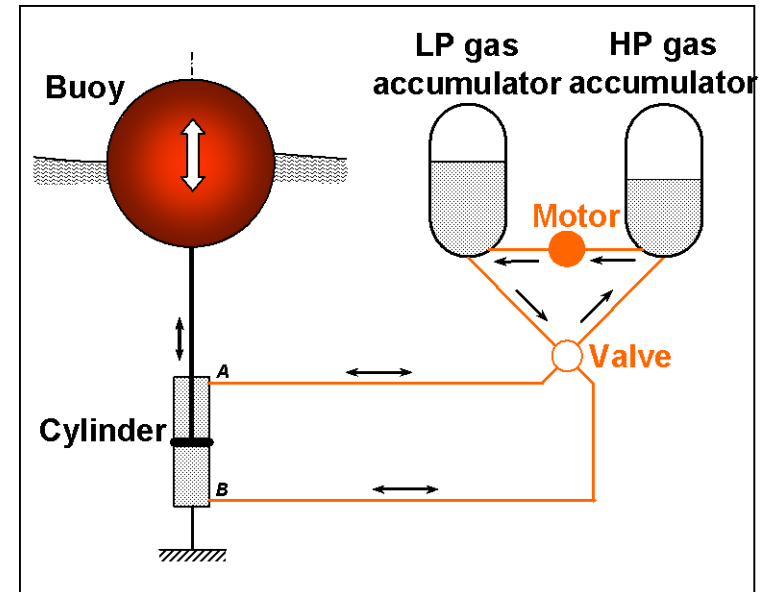
**PTO force:**  
Coulomb type (imposed by pressure in accumulator, piston area and rectifying valve system)



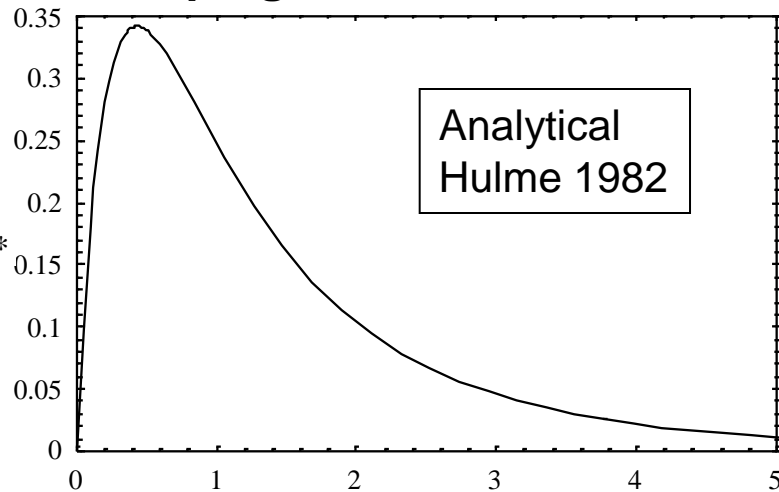
# Oscillating-body dynamics

**Example:**

- Hemispherical buoy, radius =  $a$

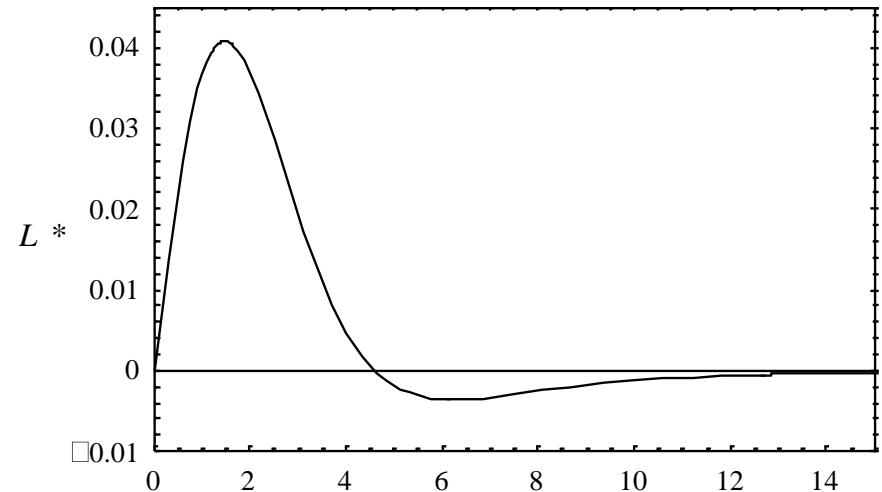


**Dimensionless radiation damping coefficient**



**Dimensionless radius**

**Dimensionless memory function**

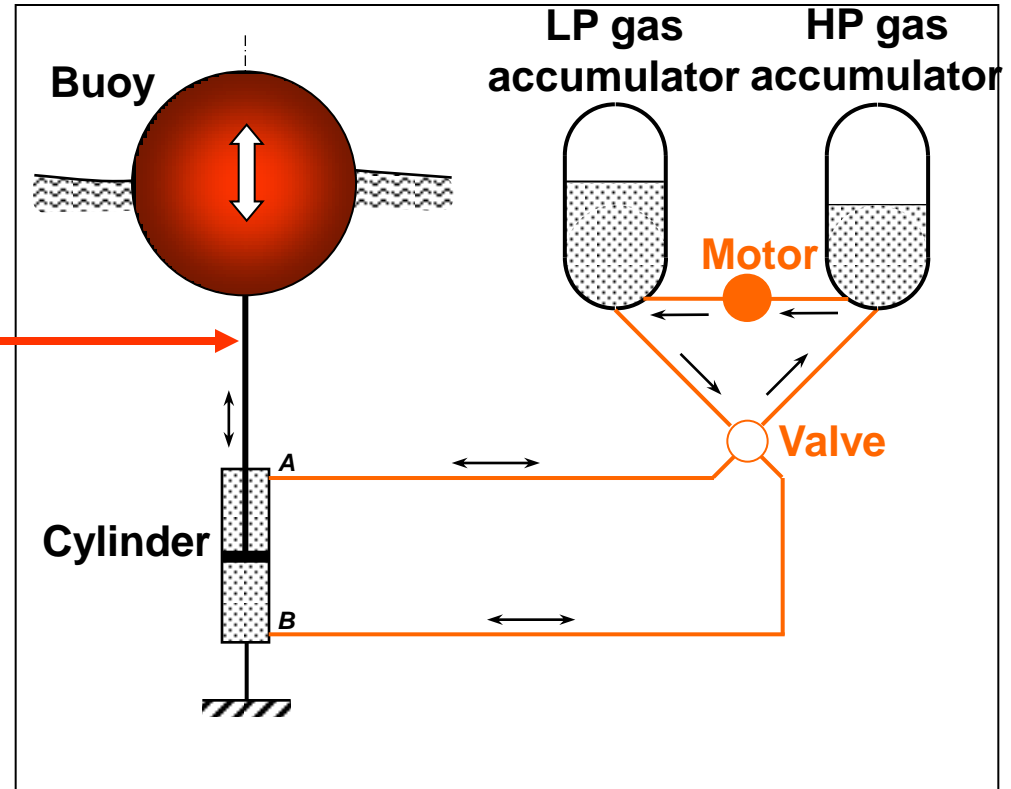


**Dimensionless time**  $t^* = t \sqrt{\frac{g}{a}}$

# Oscillating-body dynamics

## External PTO force:

Coulomb type (imposed by pressure in accumulator, piston area and rectifying valve system)



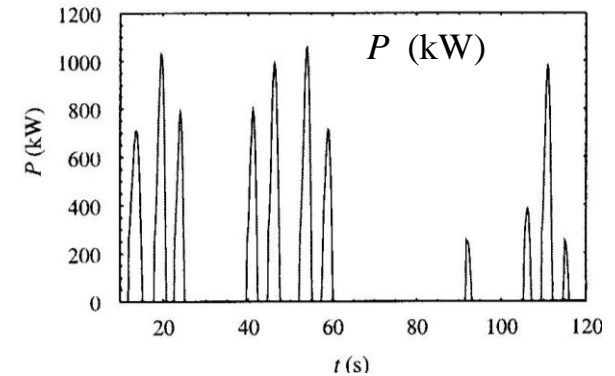
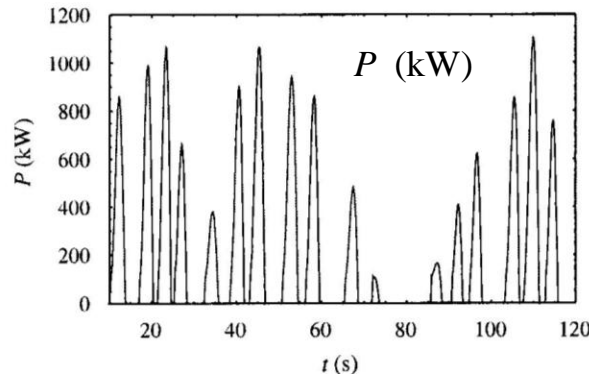
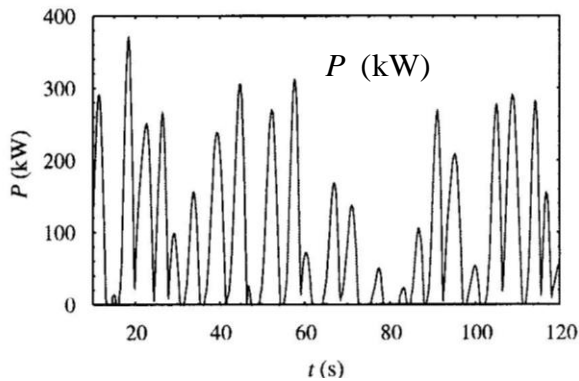
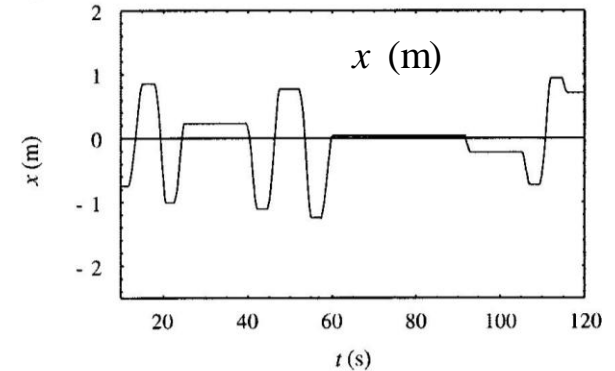
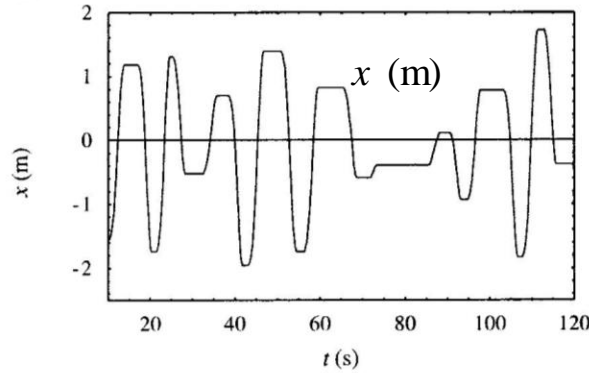
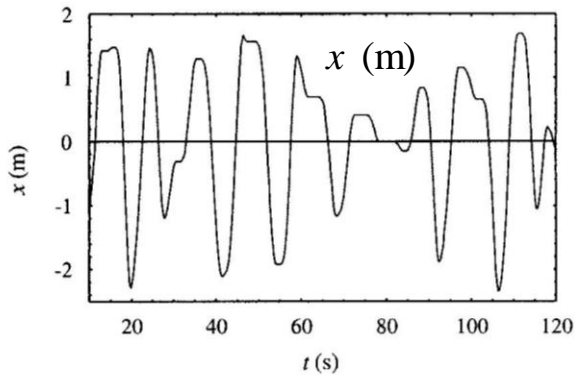
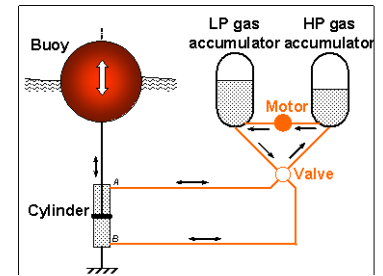
Irregular waves with  $H_s$ ,  $T_e$  and Pierson-Moskowitz spectral distribution

$$S_{\zeta}(\omega) = 263 H_s^2 T_e^{-4} \omega^{-5} \exp(-1054 T_e^{-4} \omega^{-4})$$

# Oscillating-body dynamics

Sphere radius  $a = 5$  m

Sea state  $H_s = 3$  m,  $T_e = 11$  s



Under damped

External force 200 kN

$$\overline{P} = 83.1 \text{ kW}$$

Optimally damped

External force 647 kN

$$\overline{P} = 178.4 \text{ kW}$$

Over damped

External force 1000 kN

$$\overline{P} = 97.0 \text{ kW}$$



## Oscillating-body dynamics

For **point absorbers** (relatively small bodies) the resonance frequency of the body is in general much larger than the typical wave frequency of sea waves:

- No resonance can be achieved.
- Poor energy absorption.

How to increase energy absorption?

**Phase control !**

# Oscillating-body dynamics

## Phase-control by latching

Whenever the body velocity comes down to zero, keep the body fixed for an appropriate period of time.

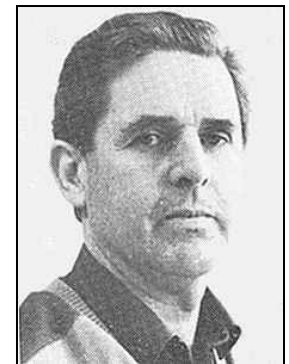
This is an artificial way of reducing the frequency of the body free-oscillations, and achieving resonance.

Phase-control by latching was introduced by Falnes and Budal

J. Falnes, K. Budal, Wave-power conversion by power absorbers. *Norwegian Maritime Research*, 6, 2-11, 1978.



Johannes  
Falnes



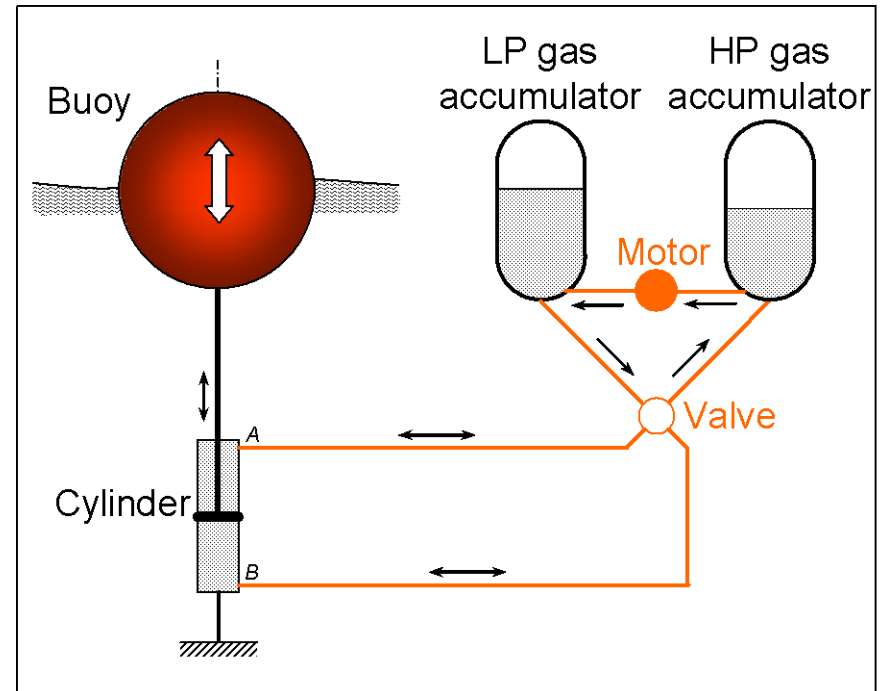
Kjell Budall  
(1933-89)

# Oscillating-body dynamics

How to achieve **phase-control by latching** in a floating body with a hydraulic power-take-off mechanism?

Introduce a delay in the release of the latched body.

How?

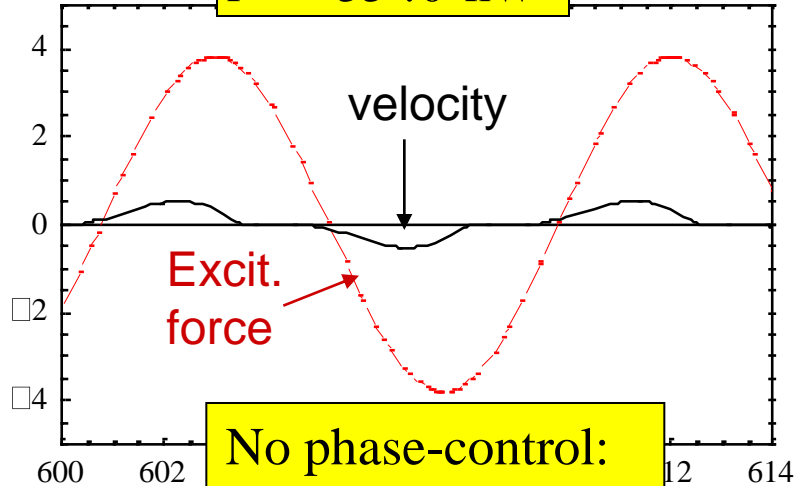


**Increase the resisting force the hydrodynamic forces have to overcome to restart the body motion.**

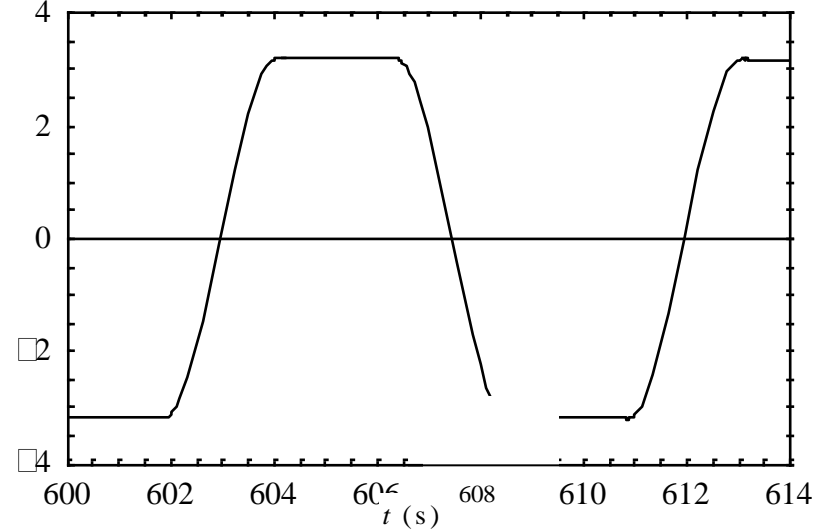
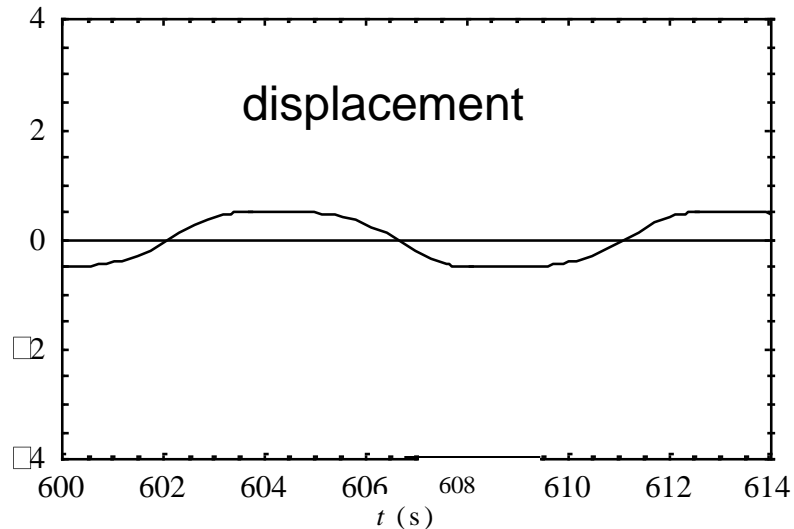
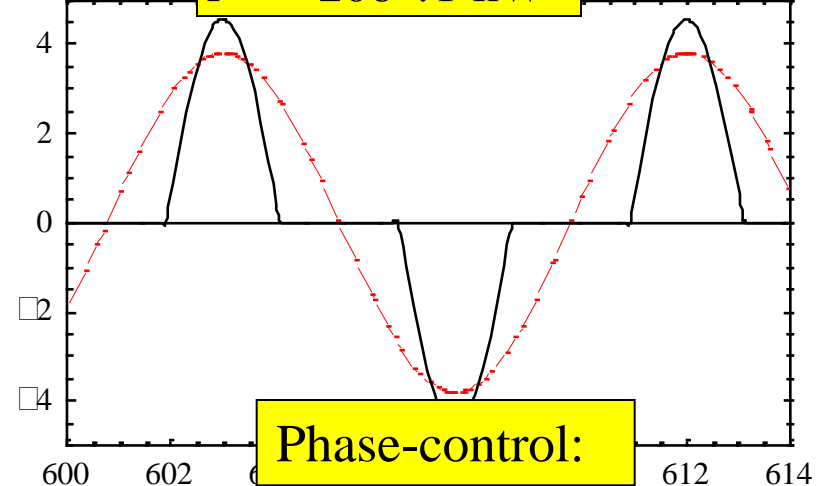
# Oscillating-body dynamics

Regular waves:  $T = 9$  s, amplitude 0.67 m

$\bar{P} = 55.0$  kW



$\bar{P} = 206.1$  kW

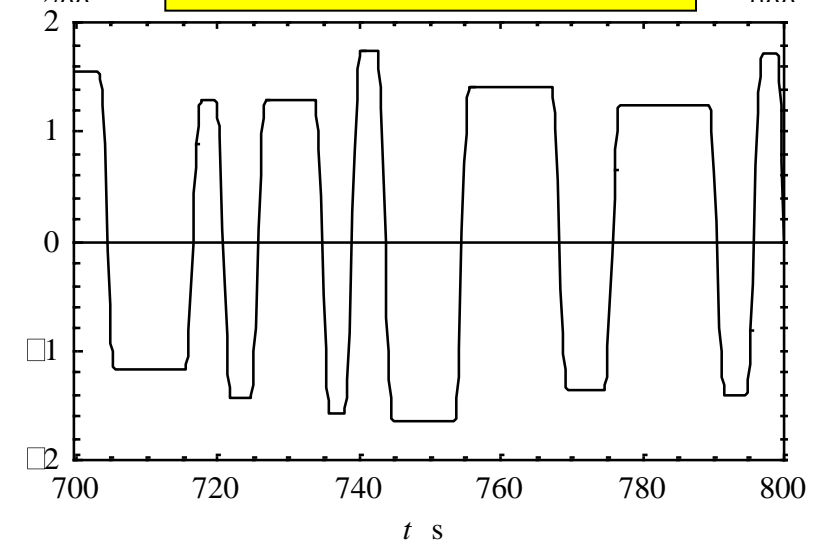
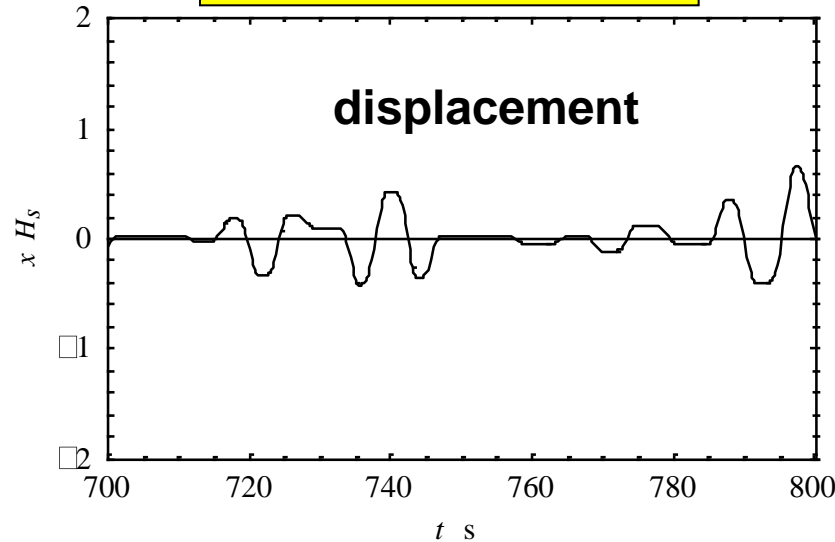
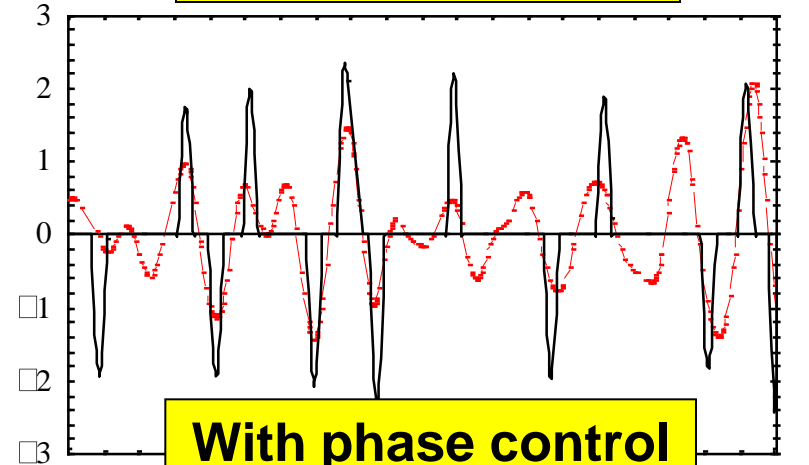
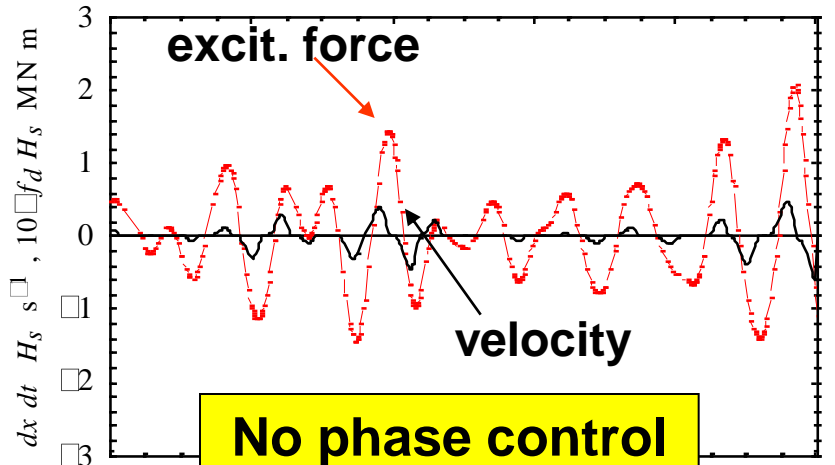


# Oscillating-body dynamics

Irregular waves:  $T_e = 9$  s,  $H_s = 2$  m

$$\overline{P} / H_s^2 = 41.2 \text{ kW/m}^2$$

$$\overline{P} / H_s^2 = 114 \text{ kW/m}^2$$



# Oscillating-body dynamics

**Phase by latching may significantly increase the amount of absorbed energy by point absorbers.**

## **Problems with latching phase control:**

- Latching forces may be very large.
- Latching control is less effective in two-body WECs.

Apart from latching, there are forms of phase control (reactive, unlatching, ...).

**Phase control** is being investigated by several teams as a way of enhancing device performance.

# Oscillating-body dynamics

## Several degrees of freedom

- Each body has 6 degrees of freedom
- A WEC may consist of  $n$  bodies ( $n > 1$ )

All these modes of oscillation interact with each other through the wave fields they generate.

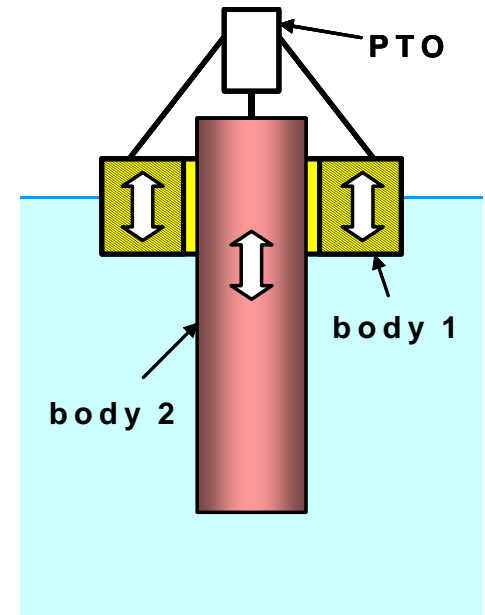
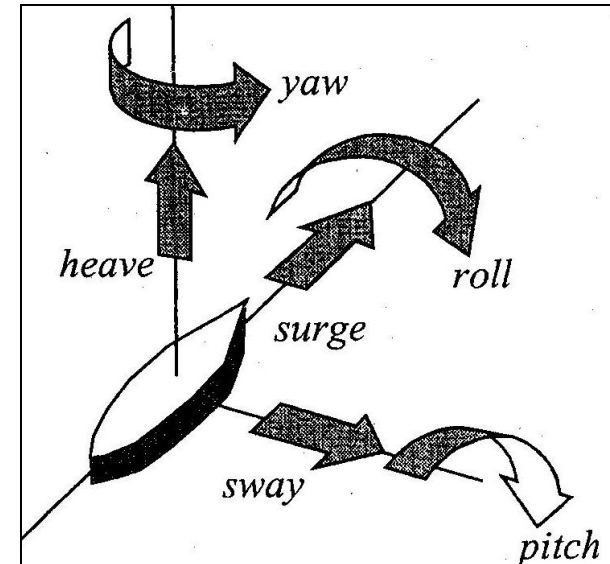
Number of dynamic equations =  $6n$

The interference between modes affects:

- added masses
- radiation damping coefficients

Hydrodynamic coefficients  $A_{ij}$ ,  $B_{ij}$  are defined accordingly.

They can be computed with commercial software (WAMIT, ...).



# Oscillating-body dynamics

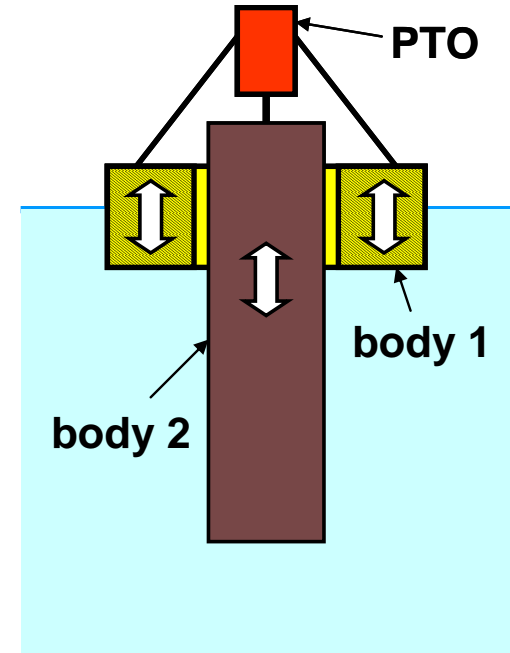
## Several degrees of freedom

**Example: heaving bodies 1 and 2 reacting against each other.**

$$(m_1 + A_1) \ddot{x}_1 + B_1 \dot{x}_1 + \rho g S_1 x_1 + C(\dot{x}_1 - \dot{x}_2) + K(x_1 - x_2) + A_{12} \ddot{x}_2 + B_{12} \dot{x}_2 = f_{d1}$$

$$(m_2 + A_2) \ddot{x}_2 + B_2 \dot{x}_2 + \rho g S_2 x_2 - C(\dot{x}_1 - \dot{x}_2) - K(x_1 - x_2) + A_{12} \ddot{x}_1 + B_{12} \dot{x}_1 = f_{d2}$$

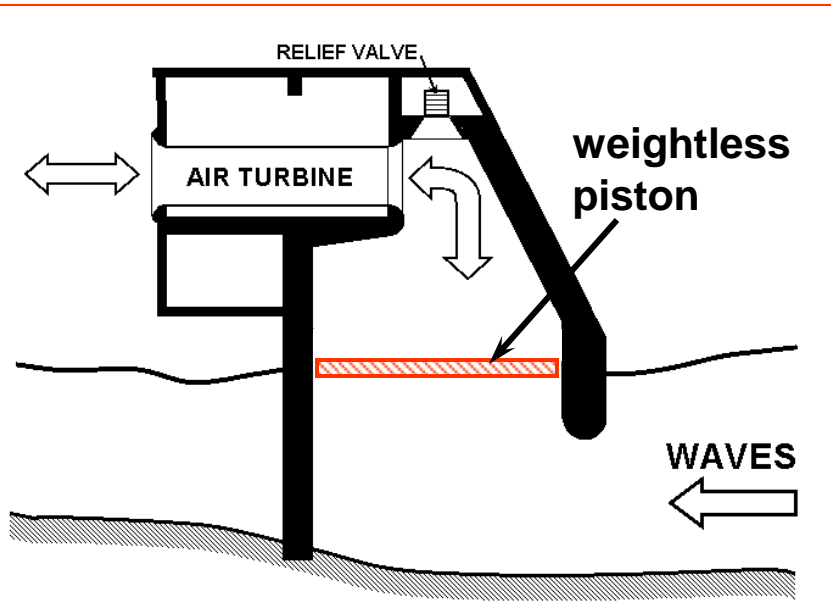
**Note:**  $A_{12} = A_{21}$ ,  $B_{12} = B_{21}$



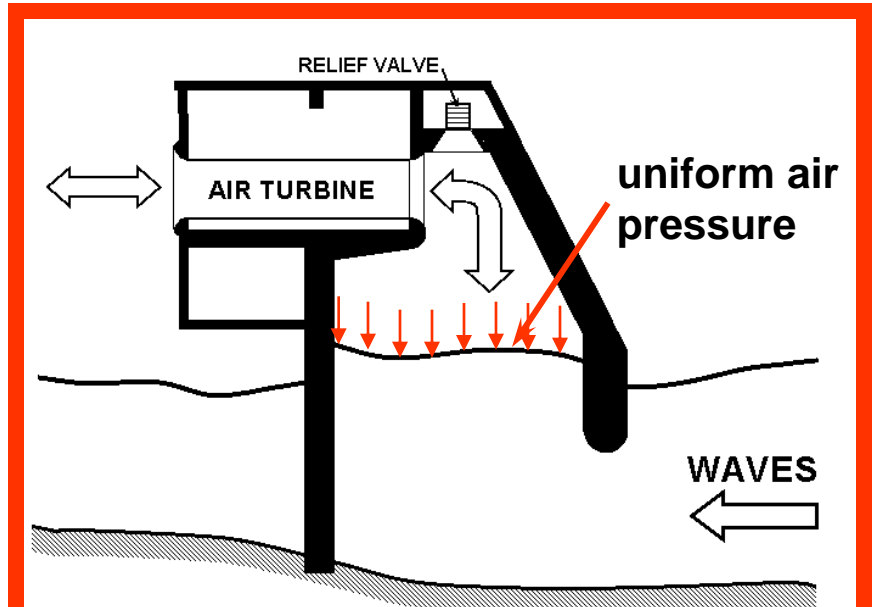


# OWC Dynamics

Two different approaches to modelling:



**Oscillating body (piston) model  
(rigid free surface)**



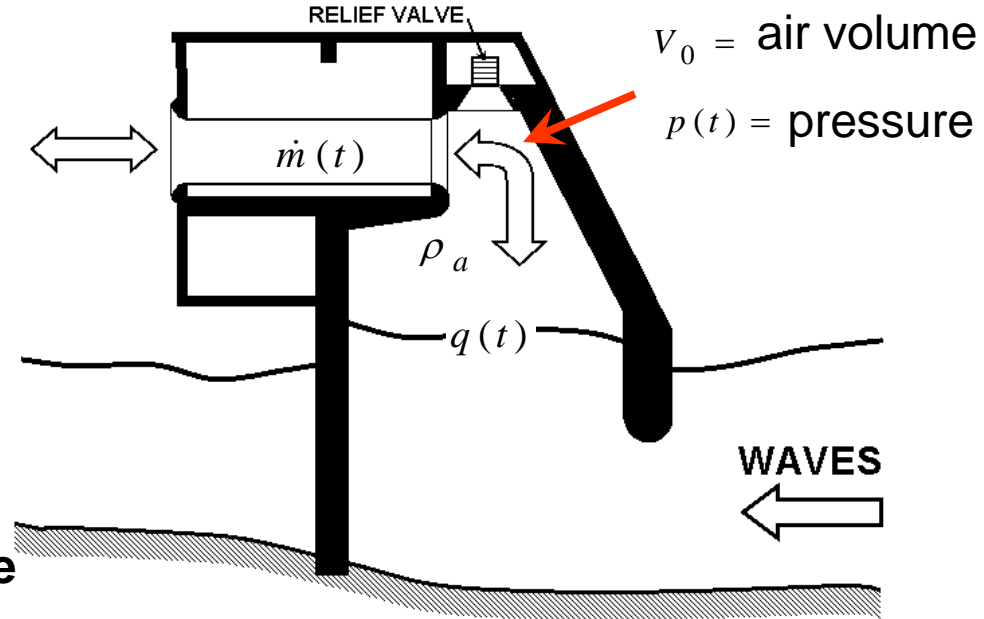
**Uniform pressure model  
(deformable free surface)**

# OWC Dynamics

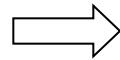
$q(t)$  = volume-flow rate displaced by free-surface

$\dot{m}(t)$  = mass-flow rate of air through turbine

$\rho_a$  = air density       $p(t)$  = air pressure



Conservation of air mass (linearized)



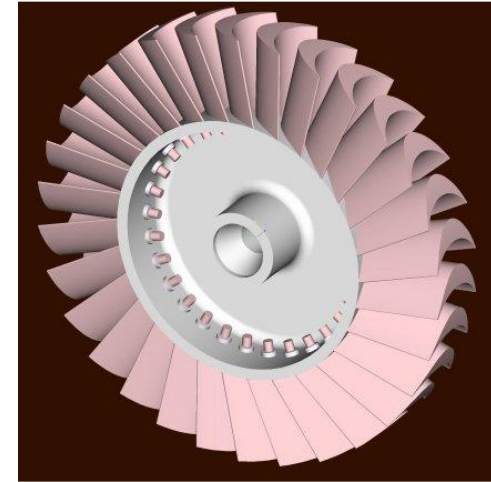
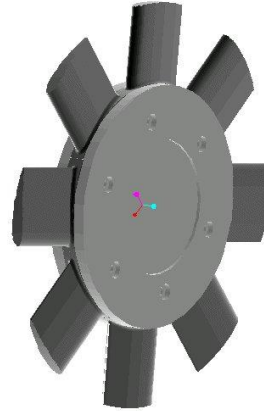
$$\frac{\dot{m}(t)}{\rho_a} = q(t) - \frac{V_0}{\rho_a c_a^2} \frac{dp(t)}{dt}$$

flow rate  $q = \begin{cases} q_{exc} & \text{excitation} \\ q_r & \text{radiation} \end{cases}$

Effect of air compressibility

# OWC Dynamics

Air turbine  $\left\{ \begin{array}{l} N = \text{rotational speed} \\ D = \text{rotor diameter} \\ P_t = \text{power output} \\ p = \text{pressure head} \end{array} \right.$



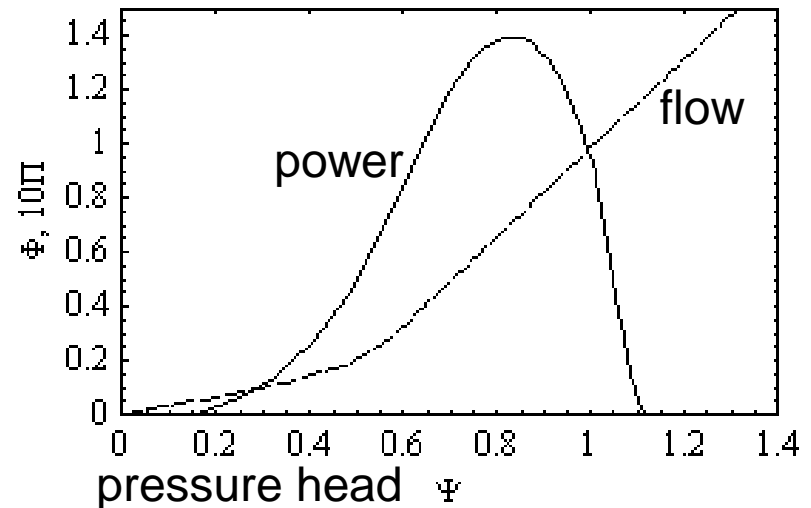
In dimensionless form:

$$\Phi = \frac{\dot{m}}{\rho_a N D^3} \quad \Psi = \frac{p}{\rho_a N^2 D^2} \quad \Pi = \frac{P_t}{\rho_a N^3 D^5}$$

flow
pressure head
power

Performance curves of turbine (dimensionless form):

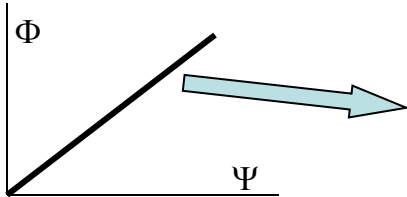
$$\Phi = f_w(\Psi), \quad \Pi = f_p(\Psi)$$



# OWC Dynamics

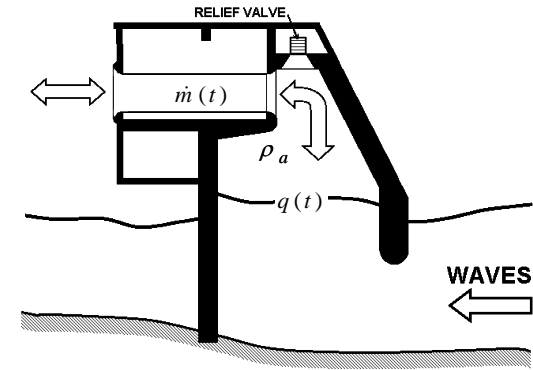
## Frequency domain

Linear air turbine  $\Phi = K\Psi$



$$\{p(t), \dot{m}, q(t), q_r(t), q_{exc}(t)\} = \{P, \dot{M}, Q, Q_r, Q_{exc}\} e^{i\omega t}$$

$$\frac{Q_r(\omega)}{P(\omega)} = -B(\omega) - iC(\omega) \begin{cases} B = \text{radiation conductance} \\ C = \text{radiation susceptance} \end{cases}$$

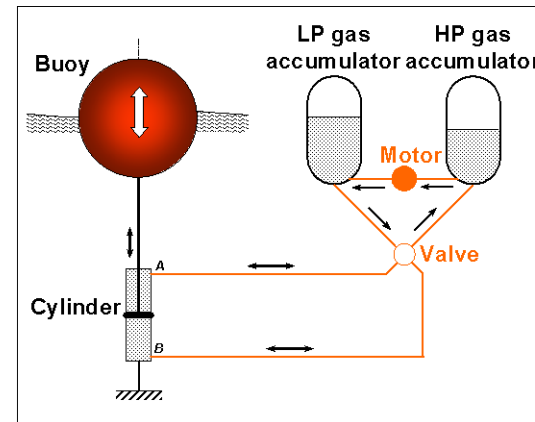
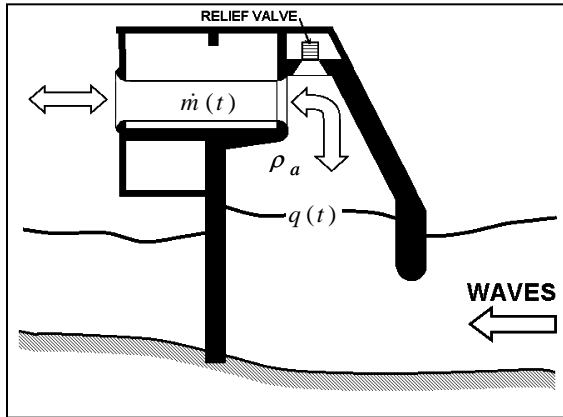


$$|Q_{exc}(\omega)| = \Gamma(\omega) A_w$$

excitation coeff.      wave ampl.

$$P = \frac{Q_{exc}}{\frac{KD}{\rho_a N} + B + i \left( C + \frac{\omega V_0}{\rho_a c_a^2} \right)}$$

# OWC Dynamics



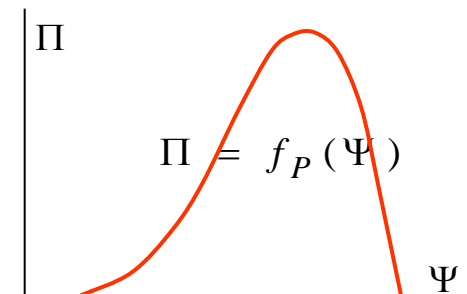
$$P = \frac{Q_{exc}}{\frac{KD}{\rho_a N} + B + i \left( C + \frac{\omega V_0}{\rho_a c_a^2} \right)}$$

$$X_0 = \frac{F_d}{-\omega^2 (m + A) + i\omega (B + C) + \rho gS + K}$$

air pressure  $p(t) = \text{Re} \left( P e^{i\omega t} \right)$

power output :

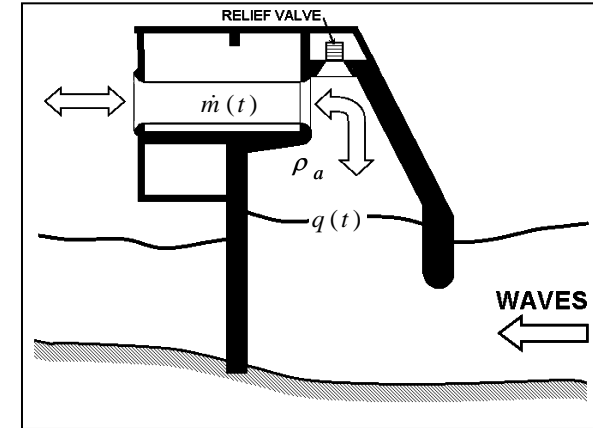
$$\Pi(t) = \frac{P_t(t)}{\rho_a N^3 D^5} = f_P \left( \frac{p(t)}{\rho_a N^2 D^2} \right)$$



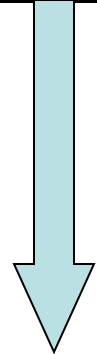
# OWC Dynamics

Time domain:

- Linear or non-linear turbine



$$\frac{V_0}{\rho_a c_a^2} \frac{dp(t)}{dt} + \frac{\dot{m}(t)}{\rho_a} - \int_{-\infty}^t g_r(t-\tau) p(\tau) d\tau = q_i(t)$$



turbine flow vs pressure curve  $\dot{m} = \rho_a N D^3 f_w \left( \frac{p}{\rho_a N^2 D^2} \right)$

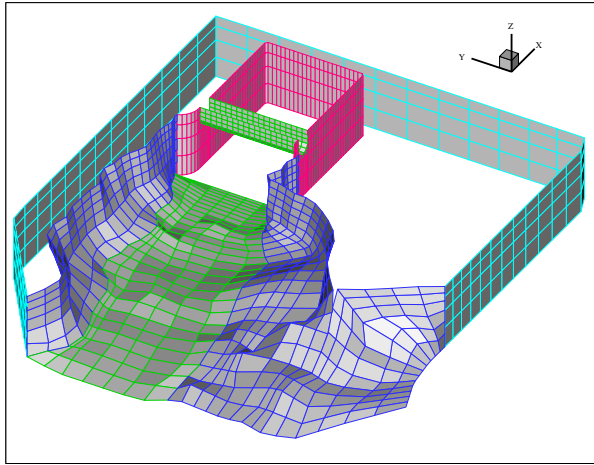
memory function  $g_r(t) = -\frac{2}{\pi} \int_0^\infty B(\omega) \cos \omega t d\omega$

To be integrated numerically for  $p(t)$

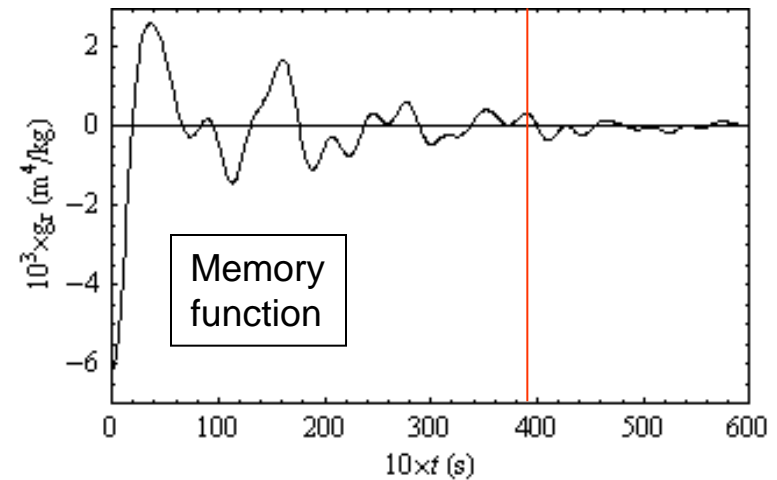
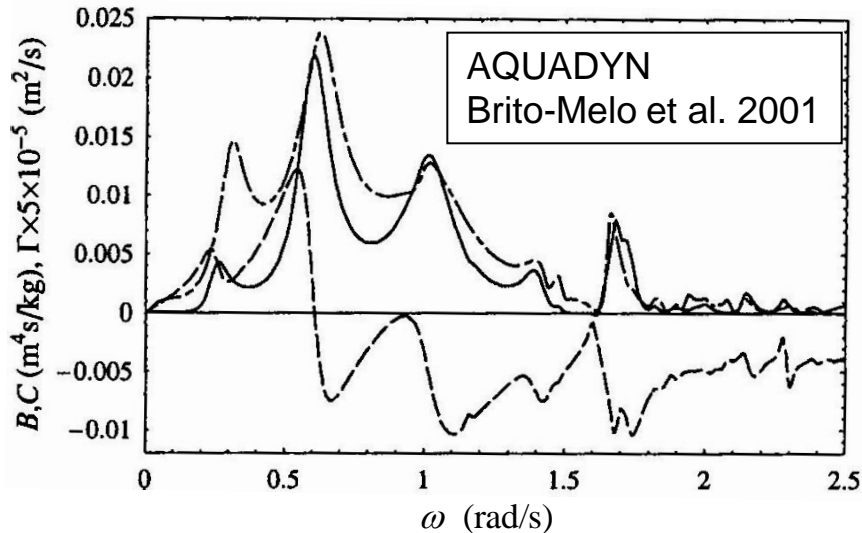
power output :  $\Pi(t) = \frac{P_t(t)}{\rho_a N^3 D^5} = f_P \left( \frac{p(t)}{\rho_a N^2 D^2} \right)$

# OWC Dynamics

## Numerical application

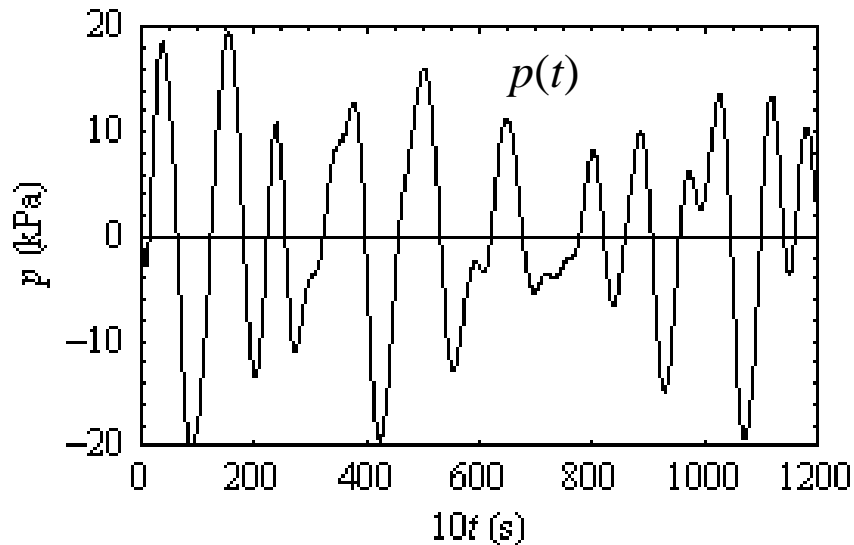


Pico OWC

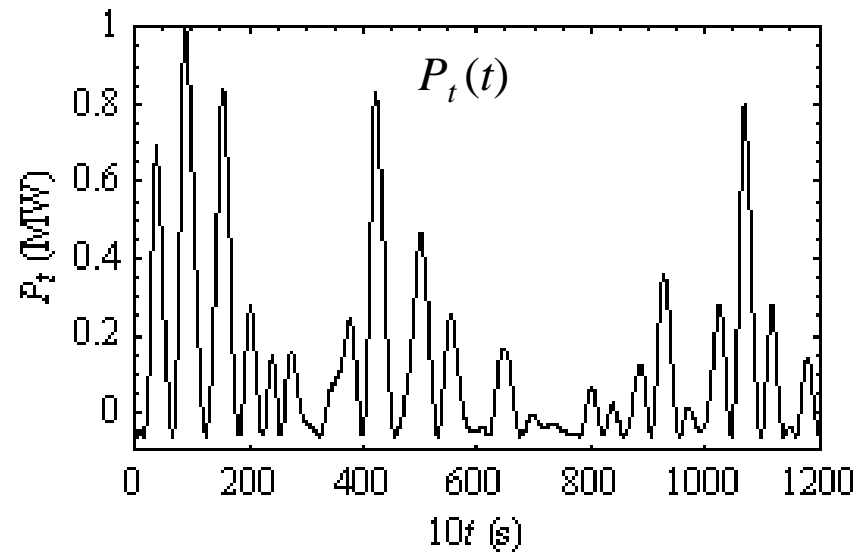


# OWC Dynamics

## Numerical application



Air pressure in chamber



Power

**Results from time-domain modelling of impulse turbine over  $\Delta t = 120$  s**

- Turbine  $D = 1.5$  m,  $N = 115$  rad/s (1100 rpm)
- Sea state  $H_s = 3$  m,  $T_e = 11$  s
- Average power output from turbine 97.2 kW



# OWC Dynamics

## Stochastic modelling

- Irregular waves
- Linear air-turbine

- Much less time-consuming than time-domain analysis
- Appropriate for optimization studies

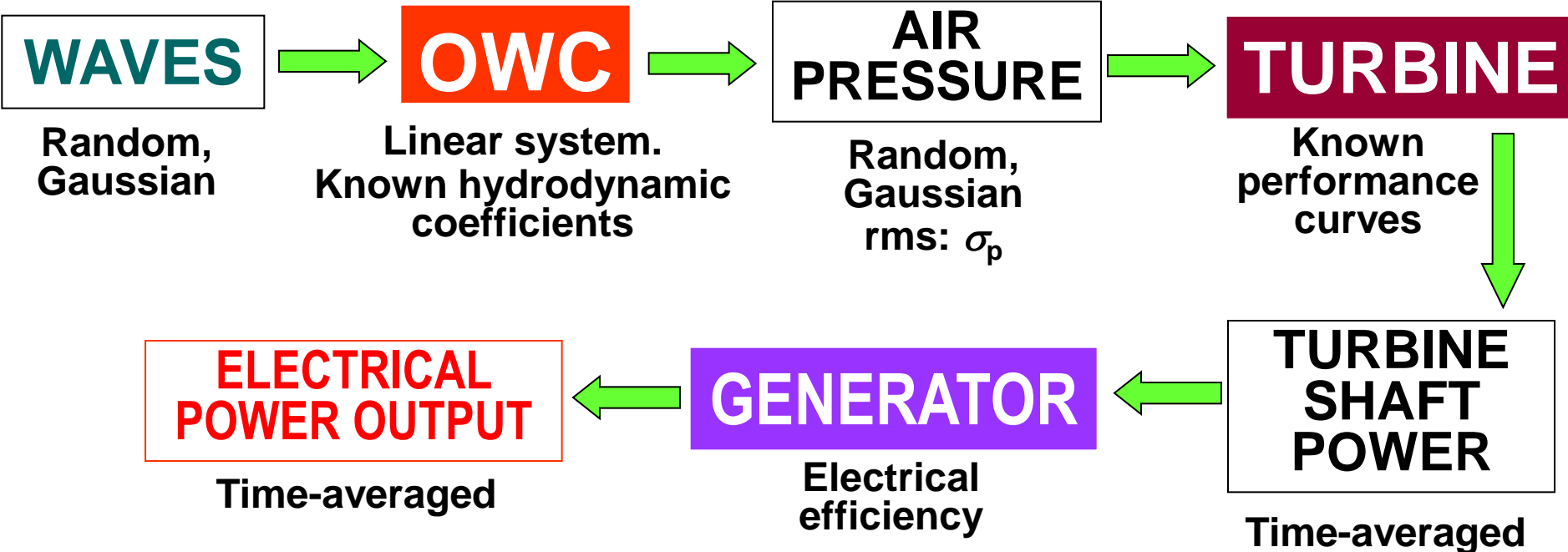
- A.F. de O. Falcão, R.J.A. Rodrigues, "Stochastic modelling of OWC wave power performance", *Applied Ocean Research*, Vol. 24, pp. 59-71, 2002.
- A.F. de O. Falcão, "Control of an oscillating water column wave power plant for maximum energy production", *Applied Ocean Research*, Vol. 24, pp. 73-82, 2002.
- A.F. de O. Falcão, "Stochastic modelling in wave power-equipment optimization: maximum energy production versus maximum profit". *Ocean Engineering*, Vol. 31, pp. 1407-1421, 2004.

# OWC Dynamics

## Stochastic modelling

Wave climate represented by a set of sea states

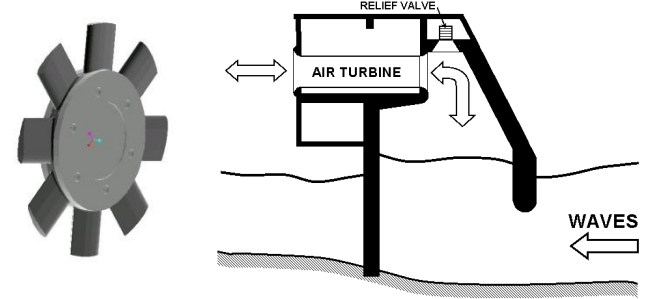
- For each sea state:  $H_s$ ,  $T_e$ , freq. of occurrence  $\phi$ .
- Incident wave is random, Gaussian, with known frequency spectrum.



# OWC Dynamics

## Stochastic model:

- Linear turbine (Wells turbine)
- Random Gaussian waves



Pierson-Moskowitz spectrum  $S_{\zeta}(\omega) = 263 H_s^2 T_e^{-4} \omega^{-5} \exp(-1054 T_e^{-4} \omega^{-4})$ .

For linear system,  $p(t)$  is random Gaussian, with variance

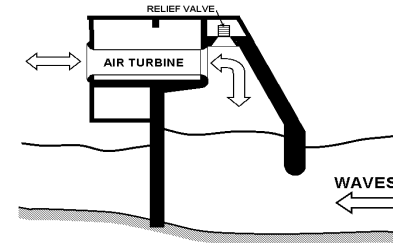
$$\sigma_p^2 = \int_0^{\infty} S_{\zeta}(\omega) |\Gamma(\omega) \Lambda(\omega)|^2 d\omega \quad \text{where} \quad \Lambda = \left[ \left( \frac{KD}{\rho_a N} + B \right) + i \left( \frac{\omega V_0}{\rho_a c_a^2} + C \right) \right]^{-1}$$

and pdf  $f(p) = \frac{1}{\sqrt{2\pi\sigma_p^2}} \exp\left(-\frac{p^2}{2\sigma_p^2}\right)$

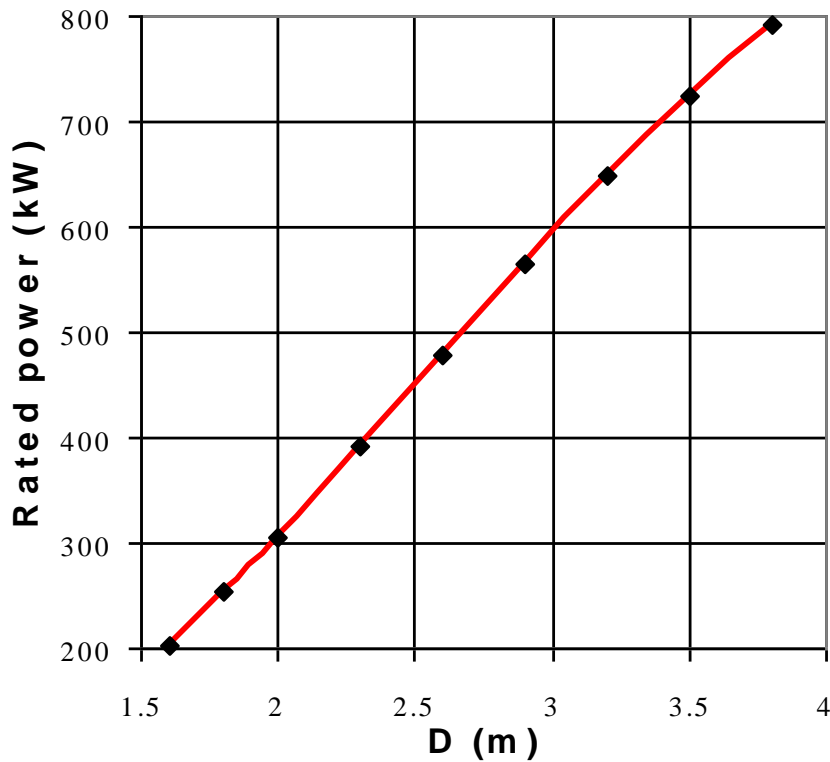
$$\overline{P_t} = \int_{-\infty}^{\infty} f(p) P_t(p) dp = \frac{2\rho_a N^3 D^5}{\sqrt{2\pi\sigma_p^2}} \int_0^{\infty} \exp\left(-\frac{p^2}{2\sigma_p^2}\right) f_P\left(\frac{p}{\rho_a N^2 D^2}\right) dp$$

# OWC Dynamics

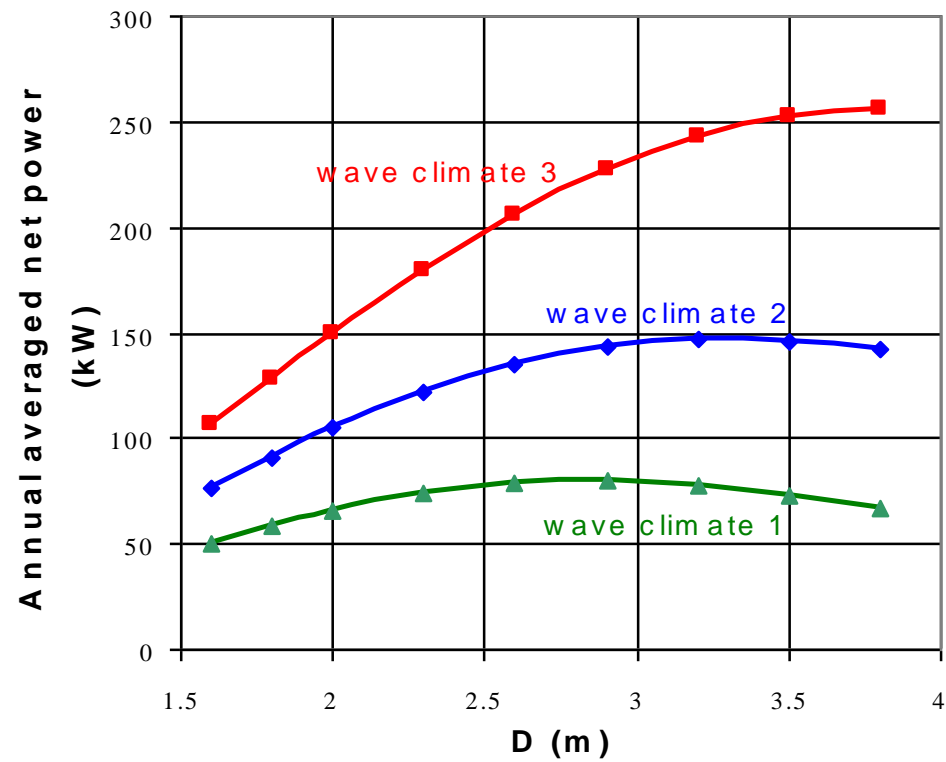
## Application of stochastic model



Wells turbine size range  $1.6\text{m} < D < 3.8\text{m}$



Plant rated power  
(for  $H_s = 5\text{m}$ ,  $T_e = 14\text{s}$ )



Annual averaged  
net power (electrical)

# Model Testing

Theoretical/numerical modelling, based on linear water wave theory, is unable to account for:

- large amplitude waves
- large amplitude motions of bodies and OWCs
- real-fluid effects (viscosity, turbulence, eddies)
- Survival in very energetic seas

**Model testing in wave tank is essential to:**

- validate theoretical results
- investigate non-linear effects effects
- investigate survival issues

**It is an essential step before testing under real sea conditions.**

# Model Testing

How to scale up model test results, assuming geometric similarity ?

Dimensionless coefficients

$L$  Linear dimension

$\frac{T}{g^{-1/2} L^{1/2}}$  Time (wave period)

$\frac{V}{(gL)^{1/2}}$  Velocity

$\frac{F}{\rho gL^3}$  Force

$\frac{P}{\rho gL^{7/2}}$  Power

# Model Testing

## Required testing conditions:

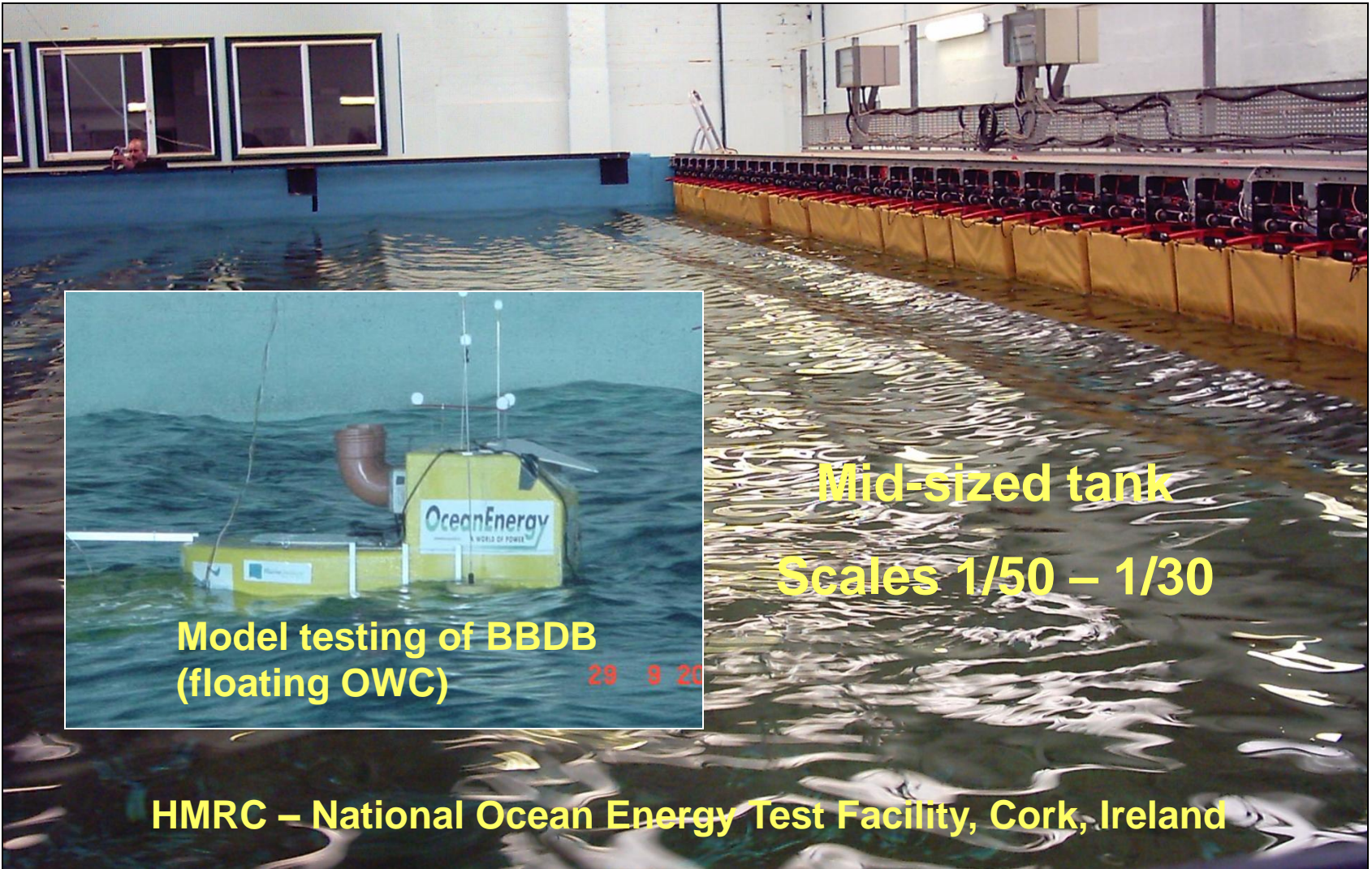
- For power performance:  $H_S$  up to 4 - 5 m.
- For survival  $H_S$  up to 10 - 15 m (individual wave height 18 – 28 m ?) for offshore devices.
- Tank depth may be important for simulation of mooring systems.

Testing for survival is usually done at smaller scale than for power performance, due to limitations in wave generation by wave makers.

## Typical scales for power performance testing:

- 1/80 to 1/25 in small to medium tanks.
- Up to 1/10 in very large tanks.

# Model Testing



Mid-sized tank

Scales 1/50 – 1/30

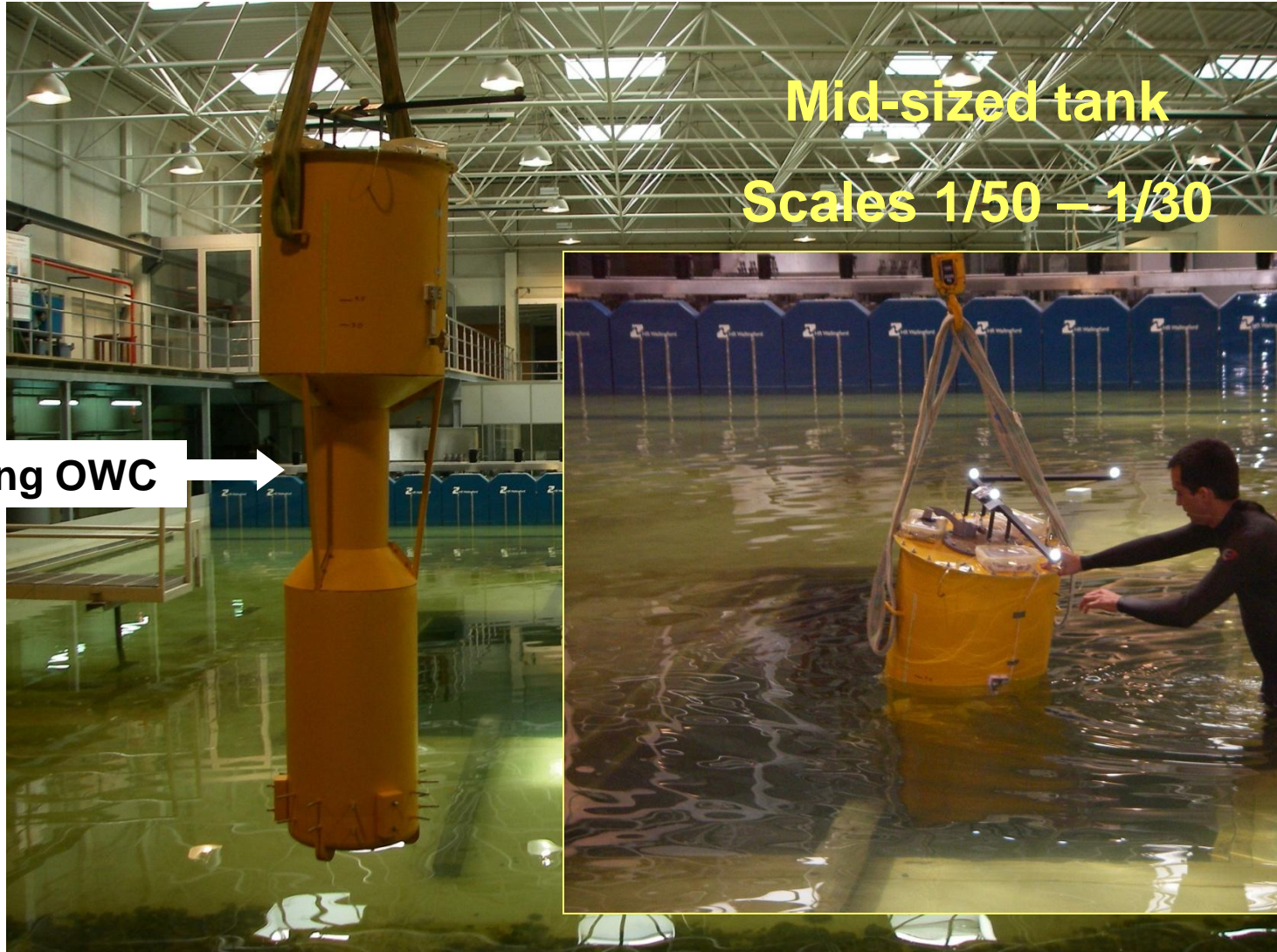
Model testing of BBDB  
(floating OWC)

29 9 20

HMRC – National Ocean Energy Test Facility, Cork, Ireland

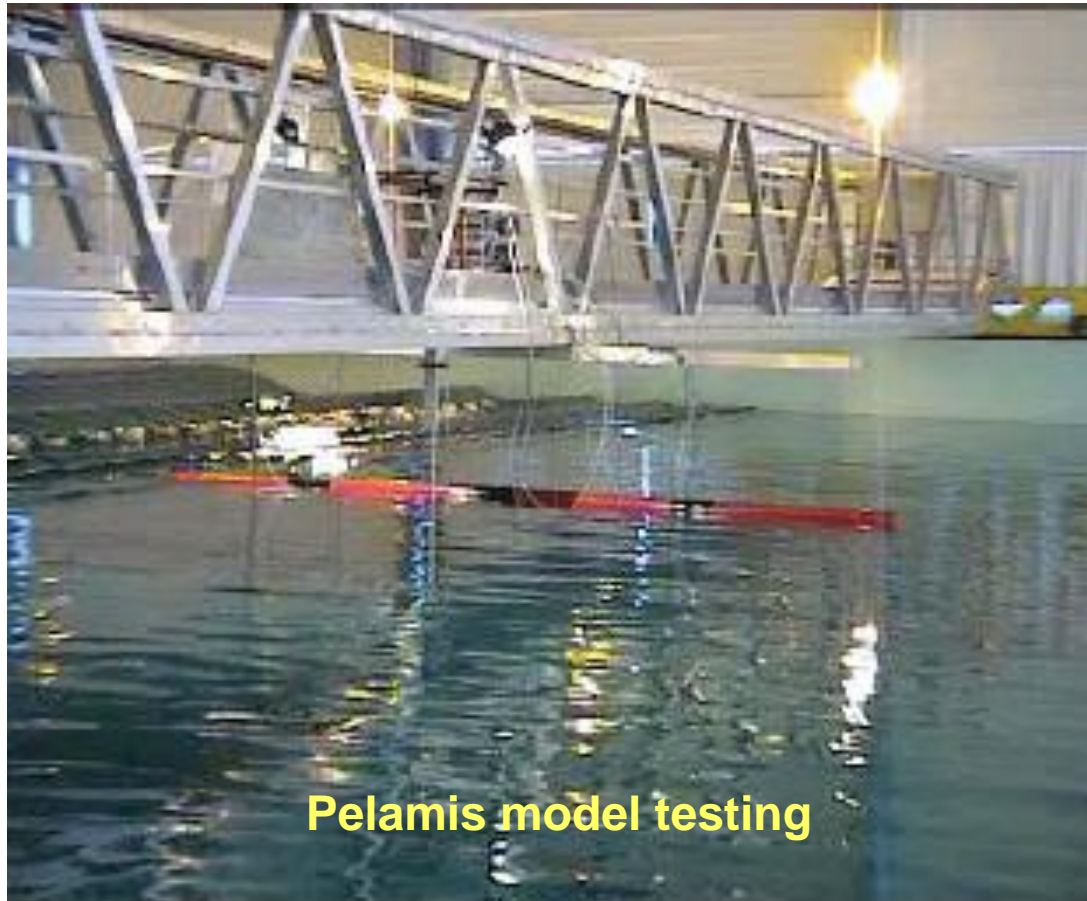


# Model Testing



# Model Testing

## Large tank: Ecole Centrale de Nantes, France



# Model Testing

$$\frac{P}{\rho g L^{7/2}}$$

What is the power level in the simulation of a **500 kW** full-sized prototype ?

Scale	Power in model
1/50	0.6 W
1/25	6.4 W
1/15	38 W
1/10	0.16 kW
1/4	3.9 kW

**A realistic simulation of the PTO (hydraulic, linear generator, etc.) with control capability in general requires scale larger than 1/10.**

**Some technology developers use tests at scales 1/4 to 1/5 in sheltered sea conditions.**

# Model Testing

## OWC testing

- The spring-like effect of air compressibility in the air chamber is important.
- In testing, the air chamber volume should be scaled as  $L^{1/2}$  not as  $L^{1/3}$ .
- This may raise practical problems, especially when model testing floating OWCs.
- The presence of the air turbine is usually simulated by a pressure drop.
- There are several techniques for doing that (orifice, porous plate, ...).

# END OF PART 3

## WAVE ENERGY CONVERSION MODELLING

